



## Northern Virginia Transportation Authority

*The Authority for Transportation in Northern Virginia*

### **AGENDA**

Thursday, February 11, 2021

7:00pm

3040 Williams Drive, Suite 200

Fairfax, VA 22031

Meeting to be conducted on WebEx and Live Streamed via YouTube

1. **Call to Order** Chair Randall
2. **Roll Call** Margaret Duker, Clerk
3. **Adoption of Resolution 21-02 to Find Need to Conduct Meeting by Electronic Communication Means during Governor's declared State of Emergency during COVID-19 Pandemic** Chair Randall  
*Recommended action: Adoption of Resolution 21-02 for Electronic Meetings During COVID-19 Pandemic*
4. **Minutes of the January 14, 2021 Meeting**  
*Recommended action: Approval [with abstentions from those who were not present]*

### **Presentations**

5. **Town of Hillsboro Route 9 Project Update** Mayor Vance, Town of Hillsboro
6. **Five Year Strategic Plan Update** Ms. Backmon, Executive Director

### **Action Items**

7. **Approval of Prince William County RSTP Transfer Request from Route 28 Phase I to Sudley Road Widening in City of Manassas** Ms. Backmon, Executive Director  
*Recommended action: Approval of Transfer*
8. **Approval of the City of Falls Church RSTP Transfer Request from Bikeshare Connections to Pedestrian Crossings along Broad Street at Oak St, Fairfax St, and Berry St (HAWK signals)** Ms. Backmon, Executive Director  
*Recommended action: Approval of Transfer*

## **Discussion/Information Items**

- 9. 2021 General Assembly Update (Verbal Report)** Ms. Baynard, McGuire Woods Consulting, LLC
- 10. Finance Committee Report** Mayor Rishell, Chair
- i. Investment Portfolio Report** Mr. Longhi, CFO
  - ii. Monthly Revenue Report** Mr. Longhi, CFO
  - iii. Operating Budget Report** Mr. Longhi, CFO
- 11. Executive Director's Report** Ms. Backmon, Executive Director
- 12. Chair's Comments**

## **Closed Session**

- 13. Adjournment**

## **Correspondence**

**Next Meeting: March 11, 2021 at 7:00pm**  
NVTA Offices

**NORTHERN VIRGINIA TRANSPORTATION AUTHORITY****RESOLUTION 21-02 FINDING NEED TO CONDUCT MEETING BY ELECTRONIC  
COMMUNICATION MEANS DURING GOVERNOR'S DECLARED STATE OF  
EMERGENCY DURING COVID – 19 PANDEMIC****FEBRUARY 11, 2021 MEETING ELECTRONICALLY**

February 11, 2021

**WHEREAS**, on March 12, 2020, the Governor of Virginia declared a state of emergency in Virginia in response to the spread of novel coronavirus, or COVID-19, a communicable disease of public health threat as so declared by the State Health Commissioner on February 7, 2020 (“COVID-19”); and

**WHEREAS**, in subsequent Executive Orders, particularly Executive Order Nos. 53 and 55, as amended, the Governor of Virginia, among other measures designed to ensure safe physical distancing between individuals, prohibited public and private in person gatherings of 10 or more individuals and ordered all individuals in Virginia to remain at their place of residence, with limited exceptions, to mitigate the impacts of COVID-19 and prevent its spread; and

**WHEREAS**, the Northern Virginia Transportation Authority finds that it has a responsibility to demonstrate to the public, through the Authority’s conduct, the importance of maintaining proper physical distance from others and to avoid gathering in public where the risks of infection are highest, and to take measures that promote physical distancing in order to protect the public health and mitigate the impacts and spread of COVID-19, including, among others, conducting meetings electronically whenever possible; and

**WHEREAS**, on April 22, 2020, the Virginia General Assembly adopted, and the Governor signed, budget bill amendments to HB 29 that expressly authorize “any public body, including any state, local, [or] regional body” to “meet by electronic communication means without a quorum of the public body . . . physically assembled at one location when the Governor has declared a state of emergency . . . , provided that (i) the nature of the declared emergency makes it impracticable or unsafe for the public body . . . to assemble in a single location; (ii) the purpose of the meeting is to discuss or transact the business statutorily required or necessary to continue operations of the public body . . . and the discharge of its lawful purposes, duties, and responsibilities” among other provisions; and

**WHEREAS**, member jurisdictions of the Northern Virginia Transportation Authority have adopted continuity of government ordinances pursuant to Va. Code Ann. § 15.2-1413 which ordinances, among other provisions, contemplate regional bodies of which the locality is a member meeting electronically to transact business to assure the continuity of government; and

**NOW, THEREFORE, BE IT RESOLVED**, that the Northern Virginia Transportation Authority hereby finds that meeting by electronic means is authorized because the nature of the declared emergency makes it both impracticable and unsafe for the Authority to assemble in a single location on February 11, 2021, to discuss and transact the business of the Authority listed on the February 11, 2021 Authority Meeting Agenda; and

**BE IT FURTHER RESOLVED**, that the Authority hereby finds that meeting by electronic means is authorized because the items on the February 11, 2021, Authority Meeting Agenda are statutorily required or necessary to continue operations of the Authority and the discharge of the Authority's lawful purposes, duties, and responsibilities; and

**BE IT FURTHER RESOLVED**, that the items on the February 11, 2021, Authority Meeting Agenda are encompassed within the continuity of operations ordinances adopted by member localities of the Northern Virginia Transportation Authority to assure the continued operation of the government during the disaster posed by the public health emergency resulting from COVID-19.

Adopted the 11th day of February, 2021.



**Northern Virginia Transportation Authority**  
*The Authority for Transportation in Northern Virginia*

## **MINUTES**

Thursday, January 14, 2021

7:00pm

3040 Williams Drive, Suite 200

Fairfax, VA 22031

Meeting conducted on WebEx and Live Streamed via YouTube

### **1. Call to Order**

Chair Randall

- ✓ Chair Randall called the meeting to order at 7:04pm.

### **2. Roll Call**

Margaret Duker, Clerk

- ✓ Voting Members: Chair Randall; Council Member Snyder; Chairman McKay; Chair Wheeler; Board Member Cristol; Mayor Meyer; Mayor Wilson; Mayor Rishell; Senator Boysko; Delegate Watts; Delegate Roem; Ms. Hynes; Mr. Kolb.
- ✓ Non-Voting Members; Mayor Burk; Ms. Cuervo; Ms. Mitchell.
- ✓ Staff: Monica Backmon (Executive Director); Michael Longhi (CFO); Keith Jasper (Principal, Planning and Programming); Harun Rashid (Transportation Planner); Peggy Teal (Assistant Finance Officer); Erica Hawksworth (Communications and Public Affairs Manager); Dev Sen (Financial Analyst); Margaret Duker (Board Clerk).
- ✓ Other Attendees: Steve MacIssac; Rob Dickerson; Joanna Anderson and Daniel Robinson (Council of Counsels); Tracy Baynard (McGuire Woods Consulting LLC); Cathy McGhee (VDOT).

### **3. Adoption of Resolution 21-01 to Find Need to Conduct Meeting by Electronic Communication Means during Governor's declared State of Emergency during COVID-19 Pandemic**

Chair Randall

*Recommended action: Adoption of Resolution 21-01 for Electronic Meetings During COVID-19 Pandemic*

- ✓ Chair Randall made the motion to adopt Resolution 21-01 to Find Need to Conduct a Meeting by Electronic Communication Means during Governor's declared State of Emergency during COVID-19 Pandemic; seconded by Council Member Snyder. Motion passed unanimously.

### **4. Annual Organizational Meeting Public Comments**

- ✓ Chair Randall proceeded with the Annual Organizational Meeting where residents in Northern Virginia were invited to provide the Authority comments regarding what transportation focus areas they would like to see in 2021, and also how best to tackle the issue of congestion in the region.

- ✓ Overall, a total of 13 citizens phoned into the organizational meeting to provide live public comment with each speaker being given up to three minutes to speak.
- ✓ Written comments from the public were provided electronically to Authority Members prior to the meeting.
- ✓ Citizens expressed their appreciation for the opportunity to provide comment and also applauded the Authority for the valuable investments made in the region resolving transportation issues whilst improving and enhancing multi-modal infrastructure.
- ✓ Comments included a range of transportation issues involving bike infrastructure, sidewalk improvements, road widening, transit availability, walkable communities among others.
- ✓ In summary, citizens called on the Authority to consider the following:
  - Expand rail into Maryland; extend Bus Rapid Transit from Fort Belvoir along Route 1 towards Dumfries
  - Support the expansion of bike infrastructure, bike routes, trails and adding protection/separation from main routes
  - Support more walkable communities/sidewalk improvements
  - Support more projects which reduce greenhouse gas emissions
  - Continue to invest in a multimodal network which promote biking/walking and are connected to transit
  - Support more dedicated bus lanes
- ✓ Chair Randall thanked all who participated in providing comments, noting how valuable it is to the Authority.

## **5. Minutes of the December 17, 2020 Meeting**

*Recommended action: Approval [with abstentions from those who were not present]*

- ✓ Delegate Roem moved for the acceptance of the December 17, 2020 meeting minutes; seconded by Senator Boysko. Motion passed 11-0-3 with Chairman McKay and Board Member Cristol abstaining due to absences, and Mayor Davis-Younger (who was not a member of the Authority in December 2020).

## **Organizational Action Items**

## **6. Appointment of Authority Chair and Vice-Chair for CY2021**

Chair Wheeler,  
Nominating Committee

*Recommended action: Approval of Appointments*

- ✓ Chair Wheeler moved Authority approval of Phyllis J. Randall as Chair, and Council Member David Snyder as Vice-Chair of the Northern Virginia Transportation Authority, for calendar year 2021; seconded by Chairman McKay. Motion passed by 13-0-1 with Chair Randall abstaining.

- ✓ Chair Wheeler extended her congratulations to Chair Randall and Vice-Chair Snyder on taking on these leadership roles.
- ✓ Both Chair Randall and Council Member Snyder thanked Members of the Authority for their appointments noting that they looked forward to serving the Authority.

## 7. Appointment of Town Representative for CY2021

Ms. Backmon,  
Executive Director

*Recommended action: Approval of Appointment*

- ✓ Chair Randall moved Authority appointment of Mayor Kelly Burk, from the Town of Leesburg, as the calendar year 2021 Town Representative to the Northern Virginia Transportation Authority; seconded by Senator Boysko. Motion passed unanimously.
- ✓ Mayor Burk thanked the Authority for this appointment, noting that she has always been a strong advocate for the Towns and she looked forward to working with everyone on the Authority.

## 8. Adoption of Authority Meeting Schedule for CY2021

Ms. Backmon,  
Executive Director

*Recommended action: Adoption of Meeting Schedule*

- ✓ Chair Randall explained to the Authority that consistent with prior practice, the Authority will continue to meet the second Thursday of every month with the month of August being an exception as no meeting is scheduled.
- ✓ She also pointed out that due to the second Thursday in November being Veteran's Day, the proposed meeting date is the third Thursday of the month.
- ✓ Chair Randall moved adoption of the Authority Meeting Schedule for calendar year 2021; seconded by Board Member Cristol. Motion passed unanimously.

## Committee Appointments

Before moving onto the next item on the Agenda, Chair Randall announced new committee appointments to the Governance and Personnel, Finance and Planning and Programming Committees for the 2021 calendar year.

- ✓ She noted that the following Members will make up the Governance and Personnel Committee:
  - **Chair:** Hon. Phyllis Randall, Loudoun County
  - **Vice-Chairman:** Hon. Jeffrey C. McKay, Fairfax County
  - Hon. Katie Cristol, Arlington County
  - Hon. Michelle Davis- Younger, City of Manassas (**New Appointment**)
  - Hon. Ann Wheeler, Prince William County
- ✓ The Finance Committee will be comprised of the following members:
  - **Chair:** Hon. Jeanette Rishell, City of Manassas Park
  - **Vice-Chairman:** Hon. Jeffrey C. McKay, Fairfax County
  - Hon. Katie Cristol, Arlington County

- Hon. Phyllis J. Randall, Loudoun County
- Hon. Vivian Watts, Virginia House of Delegates (**New Appointment**)
- ✓ The Planning and Programming Committee will be comprised of the following members:
  - **Chairman:** Hon. Justin Wilson, City of Alexandria
  - **Vice-Chairman:** Hon. David Snyder, City of Falls Church
  - Hon. David Meyer, City of Fairfax
  - Hon. Jeanette Rishell, City of Manassas Park
  - Hon. Ann Wheeler, Prince William County

### **Action Item**

- 9. Approval of Fairfax County RSTP Transfer Request from Multiple Projects to Cinderbed Road Bikeway** Ms. Backmon, Executive Director
- Recommended action: Approval of Transfer*
- ✓ Chairman McKay moved approval of the reallocation of Regional Surface Transportation Program (RSTP) funds for Fairfax County; seconded by Council Member Snyder. Motion passed unanimously.

### **Presentations**

- 10. 2020 Annual Report – A Year in Review: Planning for Evolving Needs** Ms. Hawksworth, Communications and Public Affairs Manager
- ✓ Ms. Backmon proceeded to introduce and showcase the hardcopy 2020 Annual Report which highlights the accomplishments, programs, initiatives and events of the past year.
  - ✓ In her introduction, Ms. Backmon informed Authority Members that the digital copy of the previous year's 2019 Annual Report competed with the likes of ESPN and Microsoft in a competition and was named a 'Visual Storytelling Finalist' in Ragan Communications' 2020 Video, Visual & Virtual Awards.
  - ✓ She also briefly made mention of the coaster and the foldout map inserted in the report which shows each of the adopted multimodal transportation projects in the FY2020-2025 Six Year Program Update, as well as the modal and geographic orientation of projects within the region.
  - ✓ Ms. Hawksworth, Communications and Public Affairs Manager, proceeded with a recap.
  - ✓ She noted how despite all the challenges the pandemic presented last year, the Authority was able to still move ahead in making significant investments in the region's transportation network with some of the highlights being:
    - The adoption of the Authority's Fifth Funding Program during the FY2020-2025 Six Year Program Update on July 9, 2020: \$539 million programmed on 21 multimodal transportation projects.



- Conducted a 'COVID-19' Transportation and Opportunities Analysis with AECOM to monitor pre-pandemic and COVID era travel trends and changes to determine how to prioritize future needs.
- Unveiled NoVA Gateway, a new tool which enables users to search by location, transportation mode and more to provide up to date information on ongoing and completed NVTa funded projects in the region.
- The Authority celebrated five NVTa-funded project milestones in the Town of Leesburg, City of Falls Church, Town of Hillsboro, Arlington County and the City of Alexandria.
- The Authority presented in over 25 events during 2020, thereby helping to shape planning and policy discussions within the region.
- Work is ongoing to update TransAction, the Authority's long-range transportation plan.
- Taxpayer dollars are hard at work through the investment in regionally significant multimodal transportation projects across the region. The investment in 106 projects could potentially yield 130% return on investment in the long-term.

#### **11. Regional Multimodal Mobility Program Update**

Ms. Cathy McGhee,  
Director of Research and Innovation

- ✓ Ms. McGhee informed Members of the Authority that the goal of the Regional Multimodal Mobility Program (RM3P) is to leverage collaborative use of real-time data by public and private sectors, and to improve travel, safety, reliability and mobility by giving the public the needed tools to make better informed travel choices.
- ✓ She added that the program began with VDOT's Integrated Corridor Management (ICM) plans then NVTa came onboard via a Smart Scale application as the program fit within the long-range transportation plan, TransAction.
- ✓ The Virginia Department of Rail and Public Transportation is also a co-sponsor of RM3P.
- ✓ The Program is comprised of five elements; the Data Exchange Platform, AI Based- Decision Support System, Multi-Modal Analytical Planner, Commuter Parking Information System, and Dynamic Incentivization, all working together to optimize transportation systems performance in the region.
- ✓ The RM3P boundary is road and transit corridors in Northern Virginia including I-95, I-495 and I-66 with the rollout focused on these corridors.
- ✓ Ms. McGhee further noted that through an ATCMTD (Advanced Transportation and Congestion Management Technologies Deployment) FHWA grant, it is now possible to expand the Program elements of the AI Based- Decision Support and Commuter Parking Information Systems into Fredericksburg.

- ✓ She noted that the Program has engaged with various stakeholders in the industry like public agencies and private partnerships, by listening to them to garner valuable input via polling, RFI (Request for Information), summits and other methodologies for the program and continue to do so as they advance towards vendor procurement for the program.
- ✓ She made special mention of the tremendous contribution of NVTAs Principal of Planning and Programming, Keith Jasper and Transportation Planner, Mackenzie Jarvis to the Program.
- ✓ Anticipated benefits from the Program include coordinated responses to travel disruptions, improved safety, collaborative planning, reliable commutes and more.
- ✓ Ms. Backmon noted that given the comprehensive nature of this program, the various localities have all been valuable stakeholders, offering input as they progressed with the roll-out of the Program.

### **Discussion/Information Items**

#### **12. 2021 General Assembly Update (Verbal Report)**

Ms. Baynard,  
McGuire Woods Consulting, LLC

- ✓ Ms. Baynard reminded everyone of the Authority's legislative focus areas for 2021:
  - To protect the Authority's revenue, its ability to meet its mission, and further highlight the shortfall of \$32 million due to the Authority.
  - To support the flexibility to hold virtual meetings in times of health and safety concerns.
  - To support Covid-19 Federal relief funds for transit providers.
- ✓ She noted that some General Assembly initiatives which she recommends the Authority follow include:
  - Senators Bell/Ebbin and Delegate Krizek, Patrons of a bill sought by the Northern Virginia Transportation Commission (NVTC), seeks to amend the budget adding a one-time \$30 million in State General Fund assistance to help WMATA Compact Members meet their FY2022 operating obligations for Metro. Ms. Baynard added that she will be working with legislative liaisons to provide assistance to secure the one-time funding for the WMATA compact members operating budget.
  - She also noted that the implementation of the 2020 Grantor's Tax deferred rate is due on May 1<sup>st</sup>, 2021. She noted that the full rate implementation was pushed out due to the COVID-19 pandemic. However, since the economy has still not recovered fully, there could be a move to push this implementation further back, thus the need to be mindful of it.
  - Delegate Carr introduced HB 1903 which permits localities to reduce the speed limit on local roads to less than 25mph but not less than 15mph. She noted that this was one of the bills NVTAs supported during last year's Session which did not pass, thus has been reintroduced this year.

- The Governor has proposed a \$50 million one-time General Fund transfer to enhance and expand capital rail for passenger rail service along the U.S 29/I-81 corridor. This will create an additional roundtrip service for Burke, Manassas, Charlottesville and other cities.
- The Chair for House Transportation, Delegate McQuinn, has called for DRPT to conduct a study into transit equity and modernization needs over a two-year period. An interim report is due at end of 2021. Discussions with respect to timing are ongoing with DRPT Director, Ms. Mitchell.
- Virginia is expected to receive \$252.7 million in federal transportation COVID relief funds. The federal guidelines regarding the funding are broad and flexible. It is anticipated that more details are forthcoming.
- Ms. Baynard further mentioned the clean energy bill co-sponsored by Senator Boysko which proactively looks at ways to attain the clean energy standards within the electronic transportation industry.
- Senator Boysko added that last year she requested that the Speaker of the House conduct a study into the electronic transportation industry. From that study, they found that there was inadequate infrastructure for the business industry. As a result, this bill will help put the needed infrastructure in place and thereby promote more use of electronic transportation for the business communities.
- Also noted that Delegate Cole proposed HB 1910. A bill that creates an alternate process for the creation of regional transportation authorities in Virginia. There are currently four of such regional transportation entities in Virginia; Northern Virginia, Hampton Roads, I-81 and Central Virginia.
- This bill enables two or more localities to come together to address their local transportation needs, using their own revenue. However, it does not provide new state dedicated revenue sources. The bill is still a work in progress.
- ✓ Delegate Watts commented that the HB 1910 Bill has no elected officials involved, thus she is concerned about how public funds are going to be used without having public officials being accountable for them, noting some legal issues need to be evaluated.
- ✓ Delegate Roem stated that she shares some of Delegate Watts' concerns but acknowledged that most localities are faced with the issue of how to come up with short-term funding solutions for their local priorities. Delegate Roem noted that the bill may need amending, but could be an avenue to bridge some of the funding issues localities are currently faced with.
- ✓ Delegate Watts went on to mention that the bill as currently written, is a mainly a model to coordinate funding.

- ✓ Mayor Meyer added that there are some localities within the Commonwealth, that oppose increases in taxes, or any form of taxation, as an avenue to raise revenue to address the many transportation issues within their region. He noted that this could have grave consequences for those localities in the long-term.
- ✓ Chair Randall thanked everyone for their participation and feedback.

**13. Executive Director's Report**

Ms. Backmon, Executive Director

- ✓ No verbal report given.

**14. Adjournment** - Meeting adjourned at 9:22pm.

**Next Meeting: February 11, 2021 at 7:00pm**  
NVTA Offices

# **Town of Hillsboro Route 9 Project**

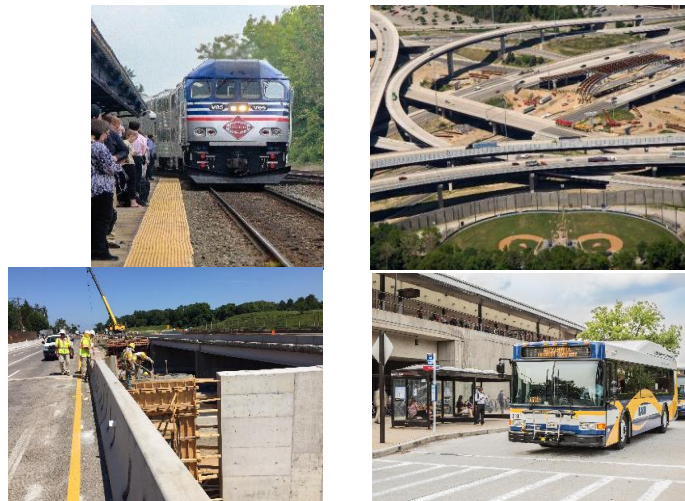
## **UPDATE**

(Placeholder)



# Five-Year Strategic Plan

*2020 Progress Report*



## 2020 Authority Members



### Voting Members

**Chair:** Phyllis J. Randall, Loudoun County

**Vice Chairman:** Harry J. "Hal" Parrish, II, City of Manassas

**Jennifer Boysko**, Virginia Senate

**Katie Cristol**, Arlington County

**Mary Hynes**, Gubernatorial Appointee, CTB Member

**Jim Kolb**, Gubernatorial Appointee

**Jeffrey C. McKay**, Fairfax County

**David L. Meyer**, City of Fairfax

**Jeanette Rishell**, City of Manassas Park

**Danica Roem**, Virginia House of Delegates

**David Snyder**, City of Falls Church

**Vivian Watts**, Virginia House of Delegates

**Ann Wheeler**, Prince William County

**Justin Wilson**, City of Alexandria

### Non-Voting Members

**Derrick R. Wood**, Town of Dumfries

**Helen Cuervo**, Virginia Department of Transportation

**Jennifer Mitchell**, Virginia Department of Rail and Public Transportation

# Table of Contents

I.	Overview .....	1
II.	Goal I – Regional Prosperity .....	2
III.	Goal II – Mobility .....	5
IV.	Goal III – Innovation .....	10
V.	Goal IV – Funding.....	16



*Across the region, NVTA is focused on multimodal transportation solutions.*



# Overview

As the Northern Virginia Transportation Authority continues to look ahead, the Five-Year Strategic Plan serves as a guide for the Authority members and staff to expand upon accomplishments and outline strategic goals of regional prosperity, mobility, innovation and funding. The presentation of each goal contains a preface to provide context and clarity to the goal, strategies and related actions. Achievement of these goals will ensure the Authority continues to grow and strengthen as the regional leader for transportation planning, programming and funding. As this is the first strategic plan in its third-year update, staff will continue to report progress on an annual basis to ensure the Authority is the regional planning leader for multimodal transportation. The goals and vision were adopted by the Authority on September 8, 2016. The 'Status' column for each goal highlights the progress that has been made for each goal's corresponding strategy areas and action items.

## Goals

### I. Regional Prosperity

Foster regional prosperity by investing in a sustainable transportation network that supports economic growth, while balancing quality of life.

### II. Mobility

Through sound planning and programming, increase transportation connectivity and available transportation options to reduce congestion.

### III. Innovation

Lead the region in planning and advocating for emerging transportation technologies which address future transportation, workplace and development trends.

### IV. Funding

Support transportation infrastructure development through excellent stewardship of tax payer dollars, maximizing opportunities from existing sources, and advocating for additional trans

## VISION



*The Northern Virginia Transportation Authority leads Northern Virginia into a transportation future equipped with multimodal transportation options for the community that advance the overall quality of life, environment and economic prosperity for the region. Using innovation, partnerships and collaboration, the NVTA delivers effective long-term planning, transparent policy processes and decision making, as well as efficient allocation of critical transportation resources which advance projects to move Northern Virginia forward as a preeminent region in the country.*

# Goal I: Regional Prosperity



**Foster regional prosperity by investing in a sustainable transportation network that supports economic growth, while balancing quality of life.**

Strategy	Action	3-Year Status
<b>Regional Coordination</b> <ul style="list-style-type: none"> <li>Coordinate with the Northern Virginia business community to better understand how the NoVA transportation system affects regional prosperity and identify how different types of multimodal regional transportation projects support economic growth and raise quality of life for Northern Virginians.</li> <li>Estimate the economic impact of transportation investments (funded by NVTA and others) in NoVA.</li> </ul>	Meet with the economic development groups in each of the nine member jurisdictions (individually or collectively).	Continue to foster regional prosperity. Met with NoVA, Commonwealth and Washington Metropolitan regional leaders over the course of the year to discuss the impacts of NVTA's investments and spoke at various events highlighting economic impacts. Local/regional meetings included the Northern Virginia Transportation Alliance's (Alliance) "What You Need to Know about Transportation" webinar, World Bank presentation, Women's Council of Realtors, and Heavy Construction Contractors Association's "Ditch Diggers Dialogues." In December 2020, the Authority authorized a contract to Chmura Economics and Analytics to update the NVTA Economic Impact Analysis.
	Meet with at least one Chamber of Commerce in each of the nine member jurisdictions (individually or collectively).	Presented at NoVA Chamber's Annual State of Transportation event attended by local Chambers and governments from around region to inform and determine how we can best work together to reach the region's goals. Virginia Secretary of Transportation, Shannon Valentine, was a fellow panelist with NVTA's Executive Director. The Authority also presented at the Loudoun County Chamber of Commerce's State of Transportation.
	Meet with the Greater Washington Board of Trade and a selection of other neighboring business community groups in the Washington D.C. metro region.	Participated in the Board of Trade's Connected DMV initiative activities and have representatives on the Mobility and Communications Solution Groups. Other business groups included presentations before the Alliance, Virginia Transit Association, and Heavy Construction Contractors Association, to name a few. NVTA also participated in the Greater Washington Partnership's Capital COVID-19 Survey, coordinated regional business participation, and helped promote the result of the survey and Transit Tracking Tool.
	Conduct and compile objective, independent research, including a periodic update of economic impact analysis.	Following the FY2020-2025 Six Year Program (SYP) Update, a RFP was released. Chmura Economics & Analytics is back to update the Authority's Economic Impact Analysis in CY21. The Authority had approved funding for an update and expansion building on the prior Economic Impact Study.

# Goal I: Regional Prosperity



**Foster regional prosperity by investing in a sustainable transportation network that supports economic growth, while balancing quality of life.**

<p><b>Advocacy/Education</b></p> <ul style="list-style-type: none"> <li>• Increase awareness and understanding of the transportation impact of the NVTA's regional transportation investments.</li> </ul>	<p>Develop appropriate messaging based on above findings to specific target audiences:</p> <ul style="list-style-type: none"> <li>• NoVA residents and business community</li> <li>• General Assembly members</li> <li>• Local elected officials (county, city, state)</li> <li>• NoVA Congressional caucus</li> <li>• NVTA stakeholders</li> </ul>	<p>Chmura Economics &amp; Analytics was chosen to update the Authority's Economic Impact Study in CY21. Continued to share information about economic impacts with various audiences, whether via in-person and virtual events, meeting presentations, newsletters, and social media platforms. Everyone from the NoVA Delegation, to the business community, to transportation leaders, to the community were kept informed.</p> <p>In July 2020, NVTA staff launched the NoVA Gateway dashboard, visually communicating the impact and status of the Authority's investments, in real-time. The next stage of the development process is underway.</p> <p>Presented activity updates to the NoVA Delegation of the General Assembly in Richmond in 2020.</p> <p>A SYP Update Video Series was developed and leveraged on social media channels and in newsletters. Also, for the first time, a Public Hearing on a NVTA funding program was held virtually. The adoption process was recapped in the Authority's 2020 Annual Report. A strategic communications campaign was put in place and nearly 45 media placements were secured for the SYP Update and Adoption alone.</p> <p>NVTA staff led the way in conducting research on the impacts of COVID-19 pandemic on transportation.</p> <p>The NVTA Communication Plan and mission statement were updated for the first time in five years. Goals, overarching key messages, leveraging external channels, stakeholder audiences, tools, metrics, TransAction and the consultant's role, were incorporated into the new Comms Plan.</p> <p>After presenting initial COVID-19 Analysis findings to the Authority in July 2020, NVTA staff has continued to monitor transportation trends as the pandemic unfolds.</p> <p>The NVTA Executive Director and Principal, Transportation Planning and</p>
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## Goal I: Regional Prosperity



**Foster regional prosperity by investing in a sustainable transportation network that supports economic growth, while balancing quality of life.**

		<p>Programming, were interviewed about the analysis live on Fox 5 News. Presentations were made to TPB's Technical Committee, the Regional Elected Leaders Initiative, the NOVA Chamber of Commerce, and multiple other groups. The Transportation Research Board was invited NVTA staff to present the findings at its prestigious Annual Meeting in January 2021.</p> <p>In early 2020, NVTA staff briefed the Authority on the findings of the 2019 Tracking Survey of transportation perceptions, as part of the TransAction update process. The survey highlighted how much affordability, alongside congestion, now affects Northern Virginians' quality of life. The survey also provided interesting insights on topics such as online shopping trends and transportation technology.</p> <p>The Authority hosted a Northern Virginia Joint Transportation Meeting with the CTB, VDOT, DRPT, OIPI, NVTC, and VRE, for the public to learn about the regional collaboration it takes to keep NoVA moving.</p> <p>With the sudden shift to conduct business remotely this year, NVTA staff researched and identified the best web platform to conduct business, whether for internal NVTA staff meetings or external business and public meetings held throughout the year, offering continued access and transparency.</p>
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## Goal II: Mobility

Through sound planning and programming, increase transportation connectivity and available transportation options to reduce congestion.



Strategy	Action	3-Year Status
<b>Multimodal Regional Transportation Planning and Programming</b> <ul style="list-style-type: none"> <li>Develop and regularly update TransAction, NVTA's long range multimodal transportation plan for NoVA, utilizing regional corridors.</li> <li>Develop and regularly update NVTA's Six Year Program, to fund projects that address regional transportation needs in NoVA.</li> <li>Coordinate with VA, DC, MD and TPB to advance multimodal regional and extra-territorial projects that are important to NoVA.</li> <li>Refine the principles of long-term benefits.</li> </ul>	<p>Develop a regional performance dashboard on congestion trends, travel demand patterns, incident impacts, etc., that can feed into regional transportation planning and project development.</p>	<p>The NoVA Gateway dashboard was unveiled mid-2020, allowing for real-time NVTA-funded project status and searchability by location and mode of transportation.</p> <p>NVTA staff and the Commonwealth of Virginia continue to work together on the Regional Multi-Modal Mobility Program (RM3P) development, an initiative to improve mobility, travel predictability, accessibility, and safety.</p> <p>In January 2021, the Commonwealth's Director of Innovation and Research presented an update to the Authority on the RM3P. Jointly sponsored by the Commonwealth and NVTA, NVTA staff have been working closely with VDOT and DRPT to advance RM3P through its project development and procurement phases, with deployment activities beginning in 2021. In fall 2020, NVTA staff played a leading role in hosting an RM3P stakeholder summit.</p> <p>The ability to incorporate project evaluation and ranking requirements, and requirements of HB 599 (2012), were incorporated into the current TransAction Plan.</p> <p>Reporting responsibility of HB 599 falls to NVTA via SB1468. NVTA staff updates both the NVTA website and TransAction website on a bi-annual basis.</p>
	<p>Educate target audiences on long-term benefits, NVTA planning and programming, and the importance of regional collaboration.</p>	<p>The Six Year Program (SYP) Update (FY2020 – 2025), which kicked off in July 2019 with a Call for Regional Transportation Projects, was adopted by the Authority in July 2020. Despite the COVID-19 pandemic, NVTA staff members were able to complete the process safely, and on time, including a first-ever combined in-person/virtual Public Hearing. Through collaborative efforts with Regional PIOs and virtual press briefing, nearly 45 media placements were secured on the SYP Update and Adoption alone.</p> <p>For the first time, NVTA staff performed an analysis of Long-Term Benefit,</p>

## Goal II: Mobility

Through sound planning and programming, increase transportation connectivity and available transportation options to reduce congestion.



Strategy	Action	3-Year Status
		<p>as required by HB2313 (2013), as part of the evaluation of funding applications. Also, for the first time, NVTA staff posted a comprehensive set of 'FAQs' related to the SYP Update process.</p> <p>NVTA staff leveraged its relationship with the Regional Joint PIO Group who helped promote the adoption of the FY2020-2025 SYP and shared templated press releases for each funded jurisdiction and agency.</p> <p>Ahead of the first Authority business meeting of the year, in January 2020 the Authority held a TransAction (TA) Listening Session and Open House for the public, formally launching the TA Update.</p> <p>A RJACC working group met to review TA vision and goals, and a proposed update to the TA vision was reviewed by multiple Statutory and Standing Committees, before being approved by the Authority at its December 2020 meeting. The TA RFP seeking a primary and sub-contractor was posted in November 2020, with proposals due in December. NVTA staff completed proposal evaluations in early 2021, with the help of member jurisdictions, and are now working on negotiations for technical and public engagement services.</p> <p>The NVTA Executive Director is a member of the Strategic Advisory Panel for the WMATA- sponsored Bus Transformation Project. Transit is an important component of the D.C. region's multimodal transportation system. NVTA supports transit service in numerous ways, including expansion of transit maintenance/operations facilities, bus acquisitions in support of capacity expansions, transit signal priority/other intelligent transportation systems that enhance bus operations, and multimodal connection facilities. The Bus Transformation Project seeks to create a viable, vibrant transit system for the region.</p> <p>The Executive Director was also participant in Sec. Valentine's Transportation Funding Sustainability Workgroup and the Greater Washington Partnership's Capital Region Rail Vision, and a member of AASHTO. She was also asked to present at WTS-DC's annual end-of-the-</p>

## Goal II: Mobility

Through sound planning and programming, increase transportation connectivity and available transportation options to reduce congestion.



Strategy	Action	3-Year Status
		<p>year celebration, the World Bank's Urban Mobility Global Solutions Group, and the Northern Virginia Joint Transportation Meeting, to name a few.</p> <p>NVTA continues to follow transportation technology trends, providing regular updates via Driven by InNoVation newsletter. This year, the Executive Director's Transportation Technology Committee (TTC), comprising thought leaders in the development, implementation, operation and impact of transportation technologies, have helped NVTA staff develop a draft Transportation Technology Strategic Plan (TTSP).</p> <p>The TTSP will be an important input to the development of the TransAction Update.</p> <p>The NVTA Communication Plan and mission statement were updated for the first time in five years. Goals, key messages, and stakeholder audiences, were incorporated in Comms Plan.</p> <p>Identified the need for a news and social media monitoring service that also allows for more efficient media and influencer list building, news story compilation, ability to identify coverage an internet search engine may miss, and identification of relevant trending topics on social media and in the press. As a result, NVTA obtained a license for Meltwater.</p> <p>With more and more activity on social media and an increased public engagement, NVTA staff identified the need for an archiving service of social media activities/posts, that can be accessed for future reference and engagement history, resulting in the purchase of ArchiveSocial.</p>
	Develop 'NoVA Transportation Trends' supplement to NVTA's Annual Report, starting w/ 2017.	<p>Triggered by the COVID-19 pandemic, NVTA staff has established an internal travel trends monitoring program and is expected to integrate this information into the NoVA Gateway dashboard.</p> <p>The NoVA Gateway, Transportation Tracking Survey Update, and COVID-19: Transportation Impacts and Opportunities, were unveiled in 2020 and promoted via various channels.</p>



## Goal II: Mobility

Through sound planning and programming, increase transportation connectivity and available transportation options to reduce congestion.



Strategy	Action	3-Year Status
	Conduct ongoing peer reviews w/ similar regions in U.S. to better understand performance of transportation in NoVA.	No current activity to report. Expected to address this as part of the upcoming TransAction Update.  Gather insights from TTC members in the public and private sectors who follow transportation technology trends across the country.
	Expand analytical capabilities ranging from sketch planning to micro simulation and scenario analysis. <ul style="list-style-type: none"> <li>Travel demand forecasting</li> <li>Model simulations</li> </ul>	The upcoming TransAction Update will in part lay the foundation for in-house modeling capabilities.  The Authority took budget action in FY2020 and FY2021 to initiate the development of in-house modeling.
	Develop appropriate messaging based on above findings to specific target audiences: <ul style="list-style-type: none"> <li>NoVA residents and business community</li> <li>General Assembly members</li> <li>Local elected officials</li> </ul>	Participated in, or promoted, NVTAA-funded project milestones, included updates in the Executive Director's Report, recapped in the NVTAA Annual Report, and highlighted milestones as Project Spotlights in the NVTAA Update monthly newsletter. Milestones demonstrating taxpayer dollars hard at work, included: Leesburg Park & Ride Lot completion in Loudoun Co.; groundbreaking on the W&OD Trail project in the City of Falls Church; the start of construction on the Rt. 9 traffic calming project in Loudoun County's Town of Hillsboro; start of construction on the 23rd St. segment of Crystal City Streets Improvements in Arlington Co.; and the zero-emissions, electric DASH bus unveiling in the City of Alexandria.  Coordinated and participated in the mandatory Annual Northern Virginia Transportation Meeting, co-hosted with CTB, VDOT, DRPT, OIPI, NVTC, and VRE. This was hosted virtually for the first time due to COVID-19 precautions.  NVTAA staff prepared for and coordinated the Authority's Annual Organizational Meeting (Jan. 2021) and public comment, hosted virtually for the first time.  NVTAA staff and the Authority hosted a variety of other virtual events and meetings, including the Six Year Program Update Public Hearing and



## Goal II: Mobility

Through sound planning and programming, increase transportation connectivity and available transportation options to reduce congestion.



Strategy	Action	3-Year Status
		<p>the Virtual Press Briefing post-SYP adoption.</p> <p>NVTA staff leveraged its relationship with the Regional Joint PIO Group to help spread the word on transportation-related news related to NVTA funding or the transportation space as a whole.</p> <p>Ongoing communications and transparency offered through a regularly updated website, NVTA Update and Driven by InNoVation newsletters, social media platforms and engagement with the public, videos, graphics, photos, and Annual Report.</p>
<p><b>Advocacy/Education</b></p> <p>Increase awareness and understanding of the transportation impact of the NVTA's regional transportation investments.</p>		<p>NVTA presented at more than 25 events hosted around the region, including the Authority's Annual Transportation Roundtable, Women's Council of Realtors event, NOVA Chamber's "Annual State of Transportation," and the Alliance's "What You Need to Know about Transportation."</p> <p>Remained accessible to target audiences by hosting an array of virtual events and meetings during the pandemic.</p> <p>Highlighted NVTA-funded projected milestones and investments around the region to showcase taxpayer dollars hard at work, whether in the Annual Report, in newsletters, during presentations, on social media, etc. Worked with Regional Joint PIO Group to help communicate messages and milestones, including the FY2020-2025 Six Year Program Adoption and projects within the funding program.</p> <p>Met with NoVA, Commonwealth and Washington Metro regional leaders to discuss impacts of NVTA's investments and spoke at various events highlighting economic impacts.</p> <p>Continued sharing updates/project info with press and secure coverage.</p> <p>Updated Communication Plan and public outreach strategies.</p>

## Goal III: Innovation



Lead region in planning and advocating for emerging transportation technologies which address future transportation, workplace and development trends.

Strategy	Action	3-Year Status
<b>Regional Coordination</b> <ul style="list-style-type: none"> <li>Promote multimodal initiatives such as Integrated Corridor Management (ICM).</li> </ul>	Coordinate with VDOT and DRPT on implementation of ICM and associated regional components, including expanded regional Travel Demand Management (TDM.)	<p>NVTA staff and the Commonwealth of Virginia continue to work together on the Regional Multi-Modal Mobility Program (RM3P) development, an initiative to improve mobility, travel predictability, accessibility, and safety.</p> <p>In January 2021, the Commonwealth's Director of Innovation and Research presented an update to the Authority on the RM3P. Jointly sponsored by the Commonwealth and NVTA, NVTA staff have been working closely with VDOT and DRPT to advance RM3P through its project development and procurement phases, with deployment activities beginning in 2021. In fall 2020, NVTA staff played a leading role in hosting an RM3P stakeholder summit. NVTA is also involved in the RM3P communications strategies, branding, website and newsletter development.</p> <p>Triggered by the COVID-19 pandemic, NVTA staff has established an internal travel trends monitoring program and is expected to integrate this information into the NoVA Gateway dashboard.</p> <p>The NoVA Gateway, Transportation Tracking Survey Update, and COVID-19: Transportation Impacts and Opportunities analysis, were unveiled in 2020 and promoted via various channels.</p>

## Goal III: Innovation



Lead region in planning and advocating for emerging transportation technologies which address future transportation, workplace and development trends.

Strategy	Action	3-Year Status
<b>Multimodal Regional Transportation Planning</b> <ul style="list-style-type: none"> <li>Plan for emerging transportation technologies and related trends.</li> </ul>	<p>At the national and regional level, monitor emerging technology trends and associated changes in travel behaviors, e.g., vehicle ownership, mobility as a service, and shared vehicle use.</p>	<p>Hosted 5th Annual Transportation Roundtable on transportation technology trends in Spring 2020 which gathered thought-leaders weighing in on emerging trends. NVTA conducted live-polling to encourage audience participation. A social media kit was developed for speakers as well and video and photos captured for promotion and incorporation into the NVTA 2020 Annual Report. The 2021 Roundtable will be hosted in a virtual format for the first time.</p> <p>Continued creation and regular distribution of the Driven by InNoVation newsletter focused on transportation tech trends and news occurring locally, nationally and across the globe. Added a COVID-19 callout box that featured how transportation technology is being impacted by the pandemic. Continued to build the distribution list via social promotion, presentation incorporation, and signup sheets at various events.</p> <p>This year, the Executive Director's Transportation Technology Committee (TTC), comprising thought leaders in the development, implementation, operation and impact of transportation technologies, have helped NVTA staff develop a draft Transportation Technology Strategic Plan (TTSP).</p> <p>The TTSP will be an important input to the development of the TransAction Update.</p> <p>Gather insights from TTC members in the public and private sectors who follow transportation technology trends across the country.</p>

## Goal III: Innovation



Lead region in planning and advocating for emerging transportation technologies which address future transportation, workplace and development trends.

Strategy	Action	3-Year Status
	Conduct and compile objective, independent research on the positive and negative impacts of emerging transportation technologies.	<p>The Driven by InNoVation newsletter compiles stories on emerging transportation tech, including independent research.</p> <p>The compilation of objective, independent research is part of the TransAction update FY2020.</p> <p>In early 2020, NVTA staff briefed the Authority on the findings of the 2019 Tracking Survey of transportation perceptions, as part of the TransAction Update process. The survey provided interesting insights on topics such as online shopping trends and transportation technology.</p> <p>Gathered insights from TTC members in the public and private sectors who follow transportation technology trends across the country and conduct their own research.</p>
	Conduct studies of regional deployments of emerging transportation technologies.	<p>No activity to report; however, NVTA staff is tracking deployments of transportation technologies around the D.C. region and throughout Virginia and Maryland. NVTA attended the AV launch in the Fairfax County Mosaic District and electric bus fleet unveiling in the City of Alexandria in 2020.</p> <p>TTC members keep NVTA's Executive Director abreast of other emerging technology trends, and as a co-sponsor of the RM3P, working group updates are provided to the Executive Director and NVTA staff as well.</p>
	Develop policy guidance as needed to support regional deployments of emerging transportation technologies.	<p>The Transportation Technology Strategic Plan will include the development of policy guidance. Authority Vice Chair, David Snyder, is chairing the TTC, and Authority member, Jeanette Rishell, is co-chair of the TTC. Authority member, Jim Kolb, is also a TTC member.</p>

## Goal III: Innovation



Lead region in planning and advocating for emerging transportation technologies which address future transportation, workplace and development trends.

Strategy	Action	3-Year Status
<b>Multimodal Regional Transportation Planning</b> Plan for emerging transportation technologies and related trends.	Host regional discussions and events that identify/address the barriers to emerging transportation technologies and facilitate their deployment in a manner that is beneficial to the region.	<p>Hosted 5th Annual Transportation Roundtable on transportation technology trends in Spring 2020 which gathered thought-leaders weighing in on emerging trends. NVTa conducted live-polling to encourage audience participation. A social media kit was developed for speakers as well and video and photos captured for promotion and incorporation into the NVTa 2020 Annual Report. The 2021 Roundtable will be hosted in a virtual format for the first time.</p> <p>TTC offers the opportunity for discussions on positive impacts and challenges of emerging transportation technologies; to offer advice/recommendations related to development of next TransAction plan update.</p> <p>In fall 2020, NVTa staff played a leading role in hosting an RM3P stakeholder summit, which allowed for insights from stakeholders on opportunities and challenges ahead for transportation technology.</p>
<b>Advocacy/Education</b> Develop and implement an advocacy and education strategy for emerging transportation technologies and shared mobility opportunities.	Work collaboratively with the region on public outreach regarding emerging technology and shared mobility.	<p>Updated audiences on transportation news/emerging trends via the Driven by InNoVation newsletter, social media platforms (Twitter followers increased 52% / Facebook page likes increased 6.7% through strategic marketing outreach), NVTa-hosted events such as the NoVA Transportation Roundtable, and panel participation at 25+ events such as the Alliance's What You Need to Know About Transportation and the World Bank's Urban Mobility Global Solutions Group.</p> <p>A member of the NVTa Transportation Planning and Programming team is also a member on the ITS Virginia Board.</p> <p>NVTa worked with jurisdictions and transportation agencies on planning or promoting NVTa-funded project milestones to show the public how their taxpayer money is being invested in multimodal improvements in their area. Despite COVID, there were 5 milestones total.</p>

## Goal III: Innovation



Lead region in planning and advocating for emerging transportation technologies which address future transportation, workplace and development trends.

Strategy	Action	3-Year Status
		<p>Regional Joint PIO Group Meetings were hosted by NVTA throughout the year.</p> <p>NVTA is shaping planning and policy discussions throughout the DMV, including involvement in RM3P development, Connected DMV participation, Virginia Secretary of Transportation's Sustainability Committee member, and WMATA's Bus Transformation Study stakeholder participant.</p> <p>NVTA also participated in the Greater Washington Partnership's Capital COVID-19 Survey, coordinated regional business participation, and helped promote the result of the survey and Transit Tracking Tool.</p> <p>The RM3P Stakeholder Focus Group and Summit meetings attracted 67 people to the four focus group meetings and more than 110 people attended the Summit, representing federal, state, and local governments; regional agencies and organizations; associations; transportation organizations; academia; military; public safety and the private sector.</p> <p>The NVTA Communication Plan and mission statement were updated for the first time in five years. Goals, overarching key messages, leveraging external channels, stakeholder audiences, tools for public outreach and engagement, metrics, TransAction and the consultant's role, were incorporated into the new Comms Plan.</p>
	Increase advocacy and education of emerging transportation technologies.	<p>NVTA will continue to host Annual Northern Virginia Transportation Roundtable discussions, with the next one scheduled for 3/10/21.</p> <p>The TTC will advise on multimodal transportation technologies and related transportation trends that support (or endanger) the vision of the Authority. The TTC is chaired by Authority Vice Chair, David Snyder,</p>

## Goal III: Innovation



Lead region in planning and advocating for emerging transportation technologies which address future transportation, workplace and development trends.

Strategy	Action	3-Year Status
		<p>and co-chaired by Authority member, Jeanette Rishell, and includes transportation and technology industry leaders from the private and public sectors.</p> <p>In partnership with the Commonwealth, the RM3P initiative is underway. The program will enhance commuter parking data, develop a dynamic service gap dashboard, implement an Artificial Intelligence-based decision support system with prediction, and deploy a data- driven tool to incentivize customer mode and route choice. Working Groups have been meeting regularly, a Stakeholder Summit has been held and various presentations about the RM3P given by NVTA's Executive Director and staff, a Communications Plan was developed and will continually update, a website for the Program launched, and key messages and a one-pager was developed.</p> <p>Continue to keep the Regional Joint PIO Group informed of RM3P and ITS project updates so they can help amplify our messages in a streamlined, strategic approach.</p> <p>Updated audiences on transportation technology news/emerging trends via the Driven by InNoVation newsletter.</p> <p>The RM3P Stakeholder Focus Group and Summit meetings attracted 67 people to the four focus group meetings and more than 110 people attended the Summit.</p>

## Goal IV: Funding



Support transportation infrastructure development through excellent stewardship of tax payer dollars, maximizing opportunities from existing sources, and advocating for additional transportation revenues.

Strategy	Action	3-Year Status
<b>Transparency/Stewardship/Accountability</b> <ul style="list-style-type: none"> <li>• Ensure NVTA's funding information is transparent and available.</li> <li>• Cement the NVTA's reputation as a predictable long-term funding partner.</li> <li>• Protect and enhance the NVTA's credit rating.</li> <li>• Protect the NVTA's regional transportation investments.</li> <li>• Streamline the Six Year Program project application process.</li> </ul>	<p>Disseminate accurate financial information amongst member jurisdictions and the public with clarity and transparency.</p>	<p>Continued to receive unmodified (clean) audit opinions.</p> <p>Incorporated project funding details into the Financial Statements for transparent presentation that the Authority is putting all available resources to work improving regional transportation.</p> <p>Regularly live-streamed Finance Committee Meetings and Authority Meetings discussing financial information, investments, balance sheets and budgets.</p> <p>Hosted Regional Investment Conversations, allowing investment professionals from member jurisdictions and NVTA to share insights.</p> <p>Post up-to-date financial reports.</p> <p>Include expanded project financial information in the Annual Report and audited financial statements.</p>
	<p>Develop and implement multi-year funding strategies in support of NVTA Programs.</p>	<p>Despite a projected \$240M revenue reduction due to COVID-19 for FY2020-23, through smart fiscal planning and investments, the Authority was able to preserve all prior project funding programs and commitments, and adopt a two-year update (FY2024-25) to the SYP, with \$539M in regional multimodal project investments in NoVA.</p>



## Goal IV: Funding



Support transportation infrastructure development through excellent stewardship of tax payer dollars, maximizing opportunities from existing sources, and advocating for additional transportation revenues.

Strategy	Action	3-Year Status
		<p>Maintaining General Assembly awareness of the need to fully restore funding to the Authority pre-2018 levels.</p> <p>The Authority's Finance Committee invested significant time in the development of a funding strategy for the SYP; strategy development was enhanced by the Authority's exceptionally strong balance sheet.</p> <p>Worked with members of the 2019 General Assembly, resulting in the passage of SB1468, which allows the use of Regional Revenue funds for the Authority Operating budget. This effort saved localities \$2.9 million in FY2020.</p>
	Work regionally in the development of conservative revenue estimates.	<p>Current estimate collaboration is focused on quantifying the regional impact of taxable internet and remote sales and incorporating the impact of COVID-19.</p> <p>Despite a projected \$240M revenue reduction due to COVID-19 for FY2020-23, through smart fiscal planning and investments, the Authority was able to preserve all prior project funding programs and commitments, and adopt a two-year update (FY2024-25) to the SYP, with \$539M in regional multimodal project investments in NoVA.</p>
	Achieve clean audit opinions.	Achieved in 2020. This is an ongoing annual goal for the purposes of transparency and maintaining an AA+ credit rating.
	Exercise prudent use of debt capacity, recognizing the impact on annual PayGo availability.	Developed funding and appropriation plan for the SYP which is saving the Authority \$194 million over traditional financing approaches and did not use any debt capacity.
	<p>Ensure compliance of all current and proposed activities with:</p> <ul style="list-style-type: none"> <li>• Investment Policy</li> <li>• Debt Policy</li> <li>• Post Issuance</li> </ul>	<p>Clean audit opinion noted no compliance deficiencies with state or federal regulations.</p> <p>Revised the Authority's Financial Policies for:</p> <ul style="list-style-type: none"> <li>• Changes to the investment ratings permitted by the General Assembly in 2020.</li> </ul>

## Goal IV: Funding



Support transportation infrastructure development through excellent stewardship of tax payer dollars, maximizing opportunities from existing sources, and advocating for additional transportation revenues.

Strategy	Action	3-Year Status
	<p>and Tax Compliance Policy</p> <ul style="list-style-type: none"> <li>State, Federal and IRS Regulatory Requirements</li> </ul>	<ul style="list-style-type: none"> <li>Adopted policy changes to ensure the equitable and transparent Local Distribution Fund (30%) distribution to member localities.</li> <li>Implemented use of Regional Revenue Funds for the Operating budget, saving localities \$2.9 million in FY2020.</li> </ul>
	Build capacity to receive, administer and account for federal and state grants.	No current activity to report.
	Build capacity to ensure fulfillment of project scope of approved regional projects, including implementation of a monitoring system.	<p>Continued development of Program Management and Monitoring System (PMMS), unveiled as NoVA Gateway.</p> <p>Phase II Project Analytics and Dashboard received budget appropriation in FY2020; phase was completed and delivered on schedule. For the first time, jurisdictions were offered a streamlined online portal to submit projects for SYP Update consideration.</p> <p>Worked on developing a TransAction RFP and establishing scope of work for budgeting purposes. NVTA staff completed proposal evaluations in early 2021, with the help of member jurisdictions, and are now working on negotiations for technical and public engagement services.</p>
	Implement a cost-effective online project application system that reduces jurisdictional and NVTA staff time expended on preparing and reviewing responses to NVTA's Calls for Regional Transportation Projects.	Via the new NoVA Gateway dashboard unveiled in mid-2020, for the first time, jurisdictions were offered a streamlined process via an online portal to submit projects for SYP Update consideration.

## Goal IV: Funding



Support transportation infrastructure development through excellent stewardship of tax payer dollars, maximizing opportunities from existing sources, and advocating for additional transportation revenues.

Strategy	Action	3-Year Status
<b>Regional Coordination</b> Coordinate across the region to enhance funding of transportation infrastructure.	Identify and support opportunities for joint and solo applications to regional, state and federal sources for economy of scale, efficiency and competitiveness.	Originally submitted SMART SCALE application for Regional Multi-Modal Mobility Program (RM3P). The project application was so well received by the Secretary of Transportation, Secretary Valentine funded it in FY2019 through an alternative program, which allows the project to be implemented sooner. Continue to develop the RM3P with the Commonwealth and regional stakeholders.  Continued to advance federal CMAQ and RSTP through assessing and making project funding recommendations on appropriate projects.
	Pursue grant opportunities on behalf of the region.	Originally submitted SMART SCALE application for RM3P. The project application was so well received by the Secretary of Transportation, Secretary Valentine funded it in FY2019 through an alternative program, which allows the project to be implemented sooner. Continue to develop the RM3P with the Commonwealth and regional stakeholders.
<b>Advocacy/Education</b> <ul style="list-style-type: none"> <li>Protect and increase the Authority's fiscal strength.</li> </ul>	Enhance existing strong ties to the General Assembly to elevate/strengthen awareness of NVTA's role, and represent NVTA's interests to protect and enhance funding legislation for regional projects.	Presented before the NoVA Delegation at the start of the 2020 session, and held a NVTA Work Session for new Authority members/elected officials at the beginning of the year. Presented before newly elected officials at Annual NOVA Leaders Policy Event at George Mason University. Presented at Alliance Breakfast at which GA members attended and the Alliance's Policymakers Webinar on transportation funding. NVTA Executive Director was a panelist for the NVTC and PRTC Annual Legislative Briefing, which also featured Virginia Secretary of Transportation and U.S. Senators Mark Warner and Tim Kaine.  The Authority hosted a mandatory Northern Virginia Joint Transportation Meeting (as established by GA legislation) with the CTB, VDOT, DRPT, OIPI, NVTC, and VRE, for the public to learn about the regional collaboration it takes to keep NoVA moving.

## Goal IV: Funding



Support transportation infrastructure development through excellent stewardship of tax payer dollars, maximizing opportunities from existing sources, and advocating for additional transportation revenues.

Strategy	Action	3-Year Status
		<p>Provide GA NOVA Delegation Annual Reports summarizing NVT A activities, initiatives and funding.</p> <p>Regularly share updates on social media platforms so that GA members can access real-time updates and stay in the know on Authority activities.</p> <p>NVT A staff has attended and/or made presentations at jurisdiction public meetings and regional Chamber events throughout the year.</p> <p>Worked effectively with General Assembly Members during the 2020 Session to continue efforts of funding restoration achieving approximately \$43.3M in additional restoration. This leaves a remaining target of \$38.7M from the \$122M directed to WMATA.</p>
	Support regional efforts to identify funding for operating and capital costs to meet current and future travel demand and emerging transportation technologies.	<p>Executive Director participant of Sec. Valentine's Transportation Funding Sustainability Workgroup and was a fellow panelist at the NoVA Chamber's Annual State of Transportation event.</p> <p>Followed COVID-19 Analysis on transportation impacts, conducted around the region. Assisted the Greater Washington Partnership with promotion of their Capital COVID-19 Survey/Transit Tracker, filled out the survey and asked member jurisdictions and agencies to participate as well.</p> <p>Stakeholder participant for Greater Washington Partnership's Capital Rail Vision; MWCOG/TPB's Market Assessment and Technical Feasibility for VRE-MARC Run-Through Service; WMATA's Blue, Orange, and Silver Capacity and Reliability Study; and Virginia Department of Rail and Public Transportation's I-495 American Legion Bridge Transit and Transportation Demand Management Study.</p> <p>Renewed membership to American Association of State Highway and Transportation Officials (AASHTO).</p> <p>Participated in the Greater Washington Board of Trade's Connected</p>

## Goal IV: Funding



Support transportation infrastructure development through excellent stewardship of tax payer dollars, maximizing opportunities from existing sources, and advocating for additional transportation revenues.

Strategy	Action	3-Year Status
		<p>DMV initiative working groups that focus on innovation in the entire DMV.</p> <p>This year, the Executive Director's Transportation Technology Committee (TTC), comprising thought leaders in the development, implementation, operation and impact of transportation technologies, have helped NVTA staff develop a draft Transportation Technology Strategic Plan (TTSP).</p> <p>The TTSP will be an important input to the development of the TransAction Update.</p> <p>Ongoing publication of Driven by InNoVation technology trends newsletter and NVTA Update recapping the latest Authority activities and funding/ project milestones.</p>
	Work with the business community to protect and enhance funding legislation.	<p>Met with Chamber members throughout Northern Virginia, and participated in the NoVA Chamber of Commerce's Annual State of Transportation and Loudoun County Chamber's State of Transportation virtual events, to inform and foster the Authority's initiatives with the work of the Chambers to determine how we can best work together to reach the region's goals.</p> <p>Reached Chamber members at a variety of additional events in the region, such as the Alliance's Annual What You Need to Know About Transportation and Women's Council of Realtors – NoVA webinar.</p> <p>The Authority issued a RFP in search of a contractor to administer an update to the previous NVTA Economic Impact Analysis, which will be conducted in 2021.</p>

**NORTHERN VIRGINIA TRANSPORTATION AUTHORITY**  
**MEMORANDUM**

**7.**

**TO:** Chair Phyllis J. Randall and Members  
Northern Virginia Transportation Authority

**FROM:** Monica Backmon, Executive Director

**SUBJECT:** Approval of the Reallocation of Regional Surface Transportation Program (RSTP) funds for Prince William County

**DATE:** February 4, 2021

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- 1. Purpose.** To seek Northern Virginia Transportation Authority (NVTa) approval of the reallocation of Regional Surface Transportation Program (RSTP) funds for Prince William County.
- 2. Suggested Motion:** *I move Authority approval of the transfer of \$1,130,091.08 in RSTP funds from “Route 28 Phase I” in Prince William County to “Sudley Road Widening” in the City of Manassas.*
- 3. Background:** On September 11, 2008, the Authority delegated the authority to approve requests to reallocate Congestion Mitigation and Air Quality (CMAQ) and Regional Surface Transportation Program (RSTP) funding between projects that were previously approved by the NVTa to the Regional Jurisdiction and Agency Coordinating Committee (RJACC). However, the Authority will need to approve the transfer requests for new projects before any funds can be reallocated.  
On January 28, 2021, Prince William County requested the following transfers:
  - Transfer of \$1,130,091.08 in RSTP funds from “Route 28 Phase I” UPC 92080 in Prince William County to “Sudley Road Widening” UPC 109293 in City of Manassas.

The receiving project is administered by the City of Manassas. However, the County has reached an agreement with the City to fund a portion of the project in order to accommodate a future leg of the County’s Route 28 Bypass project. Transfer of the RSTP funds will allow the necessary improvements at Sudley Road for the Route 28 Bypass to be designed and constructed as part of the City’s Sudley Road Widening project to minimize costs and disruptions.

At its meeting on January 28, 2021, the RJACC recommended approval of the request.

**Attachment(s):** DRAFT Letter to VDOT NOVA District Administrator Cuervo  
Request Letter from Prince William County  
Support Letter from City of Manassas

**Coordination:** Regional Jurisdiction and Agency Coordinating Committee



## Northern Virginia Transportation Authority

*The Authority for Transportation in Northern Virginia*

February 11, 2021

Ms. Helen Cuervo  
District Administrator  
Virginia Department of Transportation  
4975 Alliance Dr. Suite 4E-342  
Fairfax, Virginia 22030

Reference: Request to Reallocate Regional Surface Transportation Program (RSTP) funds for Prince William County

Dear Ms. Cuervo:

On September 11, 2008, the Northern Virginia Transportation Authority (NVTA) delegated the authority to approve requests to reallocate Congestion Mitigation and Air Quality (CMAQ) and Regional Surface Transportation Program (RSTP) funding between projects that were previously approved by the NVTA to the Regional Jurisdiction and Agency Coordinating Committee (RJACC). However, since the receiving projects are new, the Authority needs to approve the transfer requests before any funds can be reallocated.

On January 28, 2021, Prince William County requested the following transfers:

- Transfer of \$1,130,091.08 in RSTP funds from "Route 28 Phase I" UPC 92080 in Prince William County to "Sudley Road Widening" UPC 109293 in City of Manassas.

The receiving project is administered by the City of Manassas. However, the County has reached an agreement with the City to fund a portion of the project in order to accommodate a future leg of the County's Route 28 Bypass project. Transfer of the RSTP funds will allow the necessary improvements at Sudley Road for the Route 28 Bypass to be designed and constructed as part of the City's Sudley Road Widening project to minimize costs and disruptions.

On February 11, 2021, the Authority approved the request noted above. Please take the necessary steps to reallocate these funds in the Transportation Improvement Program and the State Transportation Improvement Program. Thank you very much.

Sincerely,

Phyllis J. Randall  
Chair

cc: Monica Backmon, Executive Director, NVTA  
Ricardo Canizales, Director of Transportation, Prince William County



January 26, 2021

Monica Backmon  
Executive Director  
Northern Virginia Transportation Authority (NVTA)  
3040 Williams Drive  
Fairfax, VA 22031

RE: Request to Transfer RSTP Funds

Ms. Backmon,

Prince William County requests the approval of the NVTA RJACC for the transfer of Regional Surface Transportation Program (RSTP) funds.

Prince William County requests the transfer of a total of \$1,030,091.08 in residual RSTP funds. The RSTP donor project is the Route 28 Phase I project (UPC 92080)

The Route 28 Phase I project is complete and has residual RSTP funding. The receiver project is the Sudley Road Widening project (UPC 109293). This project is administered by the City of Manassas, however, the County has reached an agreement with the City to fund a portion of the project in order to accommodate a future leg of the County's Route 28 Bypass project. Transfer of the RSTP funds will allow the necessary improvements at Sudley Road for the Route 28 Bypass to be designed and constructed as part of the City's Sudley Road Widening project to minimize costs and disruptions.

The Sudley Road Widening project has not been previously authorized by NVTA for funding. As a result, approval by full NVTA will be necessary pending RJACC approval. If you have any questions or comments regarding this request, please contact me at (703) 792-6825.

Sincerely,

A handwritten signature in blue ink, appearing to read "Ricardo Canizales".

Ricardo Canizales  
Director of Transportation

cc: Angel Tao, Prince William Preliminary Engineering Manager, VDOT  
Jan Vaughn, Programming Manager, VDOT



# CMAQ/RSTP Transfer Request Form

(One Sheet Needed Per Donor Project)

Date: 1/26/2021

Name of Jurisdiction/Agency Requesting: Prince William County Department of Transportation

Current Balance of CMAQ/RSTP Funds Currently Allocated to Donor Project (Prior to this Transfer): \$1,698,529

From (Donor):

To (Recipient):

<u>UPC</u>	<u>Project Description</u>	<u>Type of Funds</u>	<u>Transfer from Previous Fiscal Years</u>	<u>If No, Year Requested</u>	<u>Transfer Amount</u>	<u>UPC</u>	<u>Project Description</u>	<u>Previously Approved by NVTA</u>	<u>If Yes, Year Approved</u>	<u>JACC Approval (NVTA)</u>	<u>Authority Approval (NVTA)</u>	<u>Funds Verified (VDOT)</u>	<u>Completed (VDOT)</u>
92080	Route 28 Widening Phase 1 (PE & RW)	RSTP	Y		\$1,030,091.08	109293	Sudley Road Widening	N					

TOTAL OF TRANSFER - \$1,030,091.08

Attach Signed Request of Transfer Letter



January 26, 2021

Ms. Monica Backmon, Executive Director  
Northern Virginia Transportation Authority  
3040 Williams Drive  
Fairfax, VA 22031

RE: Prince William County Request to Transfer RSTP Funds

Dear Ms. Backmon,

The City of Manassas supports the request of Prince William County to transfer its Regional Surface Transportation Program (RSTP) funds for the Route 28 Phase I project.

As you know, the City of Manassas is in final design of a widening project on Sudley Road using Smart Scale funds. We have collaborated with Prince William County to be able to include the Sudley Road/Godwin Drive intersection improvements needed for the Route 28 bypass as part of our project. Including these improvements as part of the City's project will be more cost effective for the Route 28 improvements, minimize disruptions to the traveling public, and ultimately help deliver the final bypass project sooner.

We respectfully request approval of Prince William County's request. Should you have any additional questions of us, please do not hesitate to contact me. As always, we appreciate the support and assistance of you and your team to improve the transportation systems of our region.

Sincerely,

A handwritten signature in blue ink, appearing to read "Bryan D. Foster".

Bryan D. Foster  
Deputy City Manager

**NORTHERN VIRGINIA TRANSPORTATION AUTHORITY**  
**MEMORANDUM**

**TO:** Chair Phyllis J. Randall and Members  
Northern Virginia Transportation Authority

**FROM:** Monica Backmon, Executive Director

**SUBJECT:** Approval of the Reallocation of Regional Surface Transportation Program (RSTP) funds for City of Falls Church

**DATE:** February 4, 2021

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1. **Purpose.** To seek Northern Virginia Transportation Authority (NVTa) approval of the reallocation of Regional Surface Transportation Program (RSTP) funds for City of Falls Church.
2. **Suggested Motion:** *I move Authority approval of the transfer of \$133,793 in RSTP funds from “Bikeshare Connections” to “Pedestrian Crossings along Broad Street at Oak St, Fairfax St, and Berry St (HAWK signals)” for City of Falls Church.*
3. **Background:** On September 11, 2008, the Authority delegated the authority to approve requests to reallocate Congestion Mitigation and Air Quality (CMAQ) and Regional Surface Transportation Program (RSTP) funding between projects that were previously approved by the NVTa to the Regional Jurisdiction and Agency Coordinating Committee (RJACC). However, the Authority will need to approve the transfer requests for new projects before any funds can be reallocated.

On January 28, 2021, City of Falls Church requested the following transfers:

- Transfer of \$133,793 in RSTP funds from “Bikeshare Connections” UPC 109954 to “Pedestrian Crossings along Broad Street at Oak St, Fairfax St, and Berry St (HAWK signals)” UPC 110332.

Moving the unused amount to the HAWK signal project will increase accessibility and safety for of pedestrians, bicyclists, and transit riders and support ongoing and planned infill development throughout the City. The City originally received \$1,000,000 in Smart Scale funding, but due to escalated construction costs, additional design phase costs resulting from public comments from the property owners, and increased easement acquisition compensation costs, the project cost has exceeded the original budget.

At its meeting on January 28, 2021, the RJACC recommended approval of the request.

**Attachment(s):** DRAFT Letter to VDOT NOVA District Administrator Cuervo  
Request Letter from City of Falls Church

**Coordination:** Regional Jurisdiction and Agency Coordinating Committee



# Attachment.

## Northern Virginia Transportation Authority *The Authority for Transportation in Northern Virginia*

February 11, 2021

Ms. Helen Cuervo  
District Administrator  
Virginia Department of Transportation  
4975 Alliance Dr. Suite 4E-342  
Fairfax, Virginia 22030

Reference: Request to Reallocate Regional Surface Transportation Program (RSTP) funds for City of Falls Church

Dear Ms. Cuervo:

On September 11, 2008, the Northern Virginia Transportation Authority (NVTA) delegated the authority to approve requests to reallocate Congestion Mitigation and Air Quality (CMAQ) and Regional Surface Transportation Program (RSTP) funding between projects that were previously approved by the NVTA to the Regional Jurisdiction and Agency Coordinating Committee (RJACC). However, since the receiving projects are new, the Authority needs to approve the transfer requests before any funds can be reallocated.

On January 28, 2021, City of Falls Church requested the following transfers:

- Transfer of \$133,793 in RSTP funds from "Bikeshare Connections" UPC 109954 to "Pedestrian Crossings along Broad Street at Oak St, Fairfax St, and Berry St (HAWK signals)" UPC 110332.

Moving the unused amount to the HAWK signal project will increase accessibility and safety for of pedestrians, bicyclists, and transit riders and support ongoing and planned infill development throughout the City. The City originally received \$1,000,000 in Smart Scale funding, but due to escalated construction costs, additional design phase costs resulting from public comments from the property owners, and increased easement acquisition compensation costs, the project cost has exceeded the original budget.

On February 11, 2021, the Authority approved the request noted above. Please take the necessary steps to reallocate these funds in the Transportation Improvement Program and the State Transportation Improvement Program. Thank you very much.

Sincerely,

Phyllis J. Randall  
Chair

cc: Monica Backmon, Executive Director, NVTA  
Caitlin Sobsey, Grants Manager, Department of Public Works, City of Falls Church



# CITY OF FALLS CHURCH

---

January 26, 2020

Noelle Dominguez  
Regional Jurisdiction and Agency Coordinating Committee  
Northern Virginia Transportation Authority  
3060 Williams Drive, Suite 200  
Fairfax, Virginia 22031

Dear Ms. Dominguez:

The City of Falls Church requests the approval of the Northern Virginia Transportation Authority to make the following transfers of Regional Surface Transportation Program (RSTP) Funding:

Transfer of \$10,000 in RSTP funds from “Bikeshare Connections” UPC 109954 to “Oak Street Over Tripps Run Bridge Rehabilitation” UPC 109953

Transfer of \$133,793 in RSTP funds from “Bikeshare Connections” UPC 109954 to “Pedestrian Crossings along Broad St at Oak St, Fairfax St, and Berry St (HAWK signals)” UPC 110332

Bikeshare Connections (Phase 1) was installed in May 2019, but completed in January 2020. The project was funded with RSTP funds. While reviewing and closing out the project an accounting discrepancy was discovered. VDOT staff confirmed that the City was erroneously charged oversight fees that belonged to another project. VDOT has reversed the charges and returned the RSTP funds to the City, after reconciling the final charges to close out the project. The City requests that the remaining \$143,793 in RSTP funds be transferred from the completed Bikeshare Connections Project to the S. Oak Street Bridge Replacement project and the Pedestrian Crossings/HAWKs along Broad project to meet funding needs and keep the projects on schedule.

If you have any questions, please contact me at 703-298-5100 or [csobsey@fallschurchva.gov](mailto:csobsey@fallschurchva.gov).

Sincerely,

Caitlin Sobsey  
Grants Manager

**Caitlin  
Sobsey**

Digitally signed by Caitlin Sobsey  
DN: cn=Caitlin Sobsey, o=City of  
Falls Church, ou,  
email=csobsey@fallschurchva.gov  
, c=US  
Date: 2021.01.26 21:21:53 -05'00'

Department of Public Works • 300 Park Ave • Suite 103 East • Falls Church, Virginia 22046  
703-248-5350 • [www.fallschurchva.gov](http://www.fallschurchva.gov) • [dpw@fallschurchva.gov](mailto:dpw@fallschurchva.gov)

CC: Cindy Mester, Deputy City Manager  
Melissa Ryman, Deputy Director of Finance  
Zak Bradley, Interim Director of Public Works  
Karin Battle, Public Resources Manager  
Kerri Oddenino, Senior Planner  
Paul Stoddard, Director of Planning

**CMAQ/RSTP Transfer Request Form**  
(One Sheet Needed Per Donor Project)

Date: 1/26/2021

Name of Jurisdiction/Agency Requesting: City of Falls Church

Current Balance of CMAQ/RSTP Funds Currently Allocated to Donor Project (Prior to this Transfer): \$ 143,793.00

From (Donor):

To (Recipient):

UPC	Project Description	Type of Funds	Transfer from Previous Fiscal Years	If No, Year Requested	Transfer Amount	UPC	Project Description	Previously Approved by NVTA	If Yes, Year Approved	JACC Approval (NVTA)	Authority Approval (NVTA)	Funds Verified (VDOT)	Completed (VDOT)
109954	Bikeshare	RSTP	Y		\$10,000.00	109953	S. Oak Street Bridge Replacement	Y					
		RSTP	Y		\$133,793.00	110332	Ped Crossings Along Broad (HAWK signals)	N					

TOTAL OF TRANSFER \$143,793.00

Attach Signed Request of Transfer Letter



# **2021 GENERAL ASSEMBLY UPDATE (Verbal Report)**

Placeholder



**NORTHERN VIRGINIA TRANSPORTATION  
AUTHORITY**

**MEMORANDUM**

**FOR:** Chair Phyllis J. Randall and Members,  
Northern Virginia Transportation Authority

**FROM:** Mayor Rishell, Chair - Finance Committee

**DATE:** February 4, 2021

**SUBJECT:** Finance Committee Report

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1. **Purpose:** To provide the Northern Virginia Transportation Authority (NVTA) with a report of Finance Committee (Committee) activities.
2. **Background:** The Finance Committee last met on January 21, 2021. The next meeting is scheduled for Thursday, February 18, 2021 at 1:00 PM. The following summarizes the January 21<sup>st</sup> meeting.
3. **Action Item:** The Committee adopted a calendar 2021 meeting schedule, with meetings continuing to mostly be held on the third Thursday of the month at 1 PM.
4. **Information/Discussion Item Summary:**
  - a. **Budget Guidance Discussion** - The Committee continued the FY2022 budget development discussions started in November 2020. Prospectively, the only new initiative for the FY2022 budget will be enhancements to the Project Information Monitoring and Management System (PIMMS). The dashboard component of PIMMS was presented to Authority Members at the September 2020 meeting. Potential enhancements to the system were discussed by Authority Members at that meeting. Those enhancements, financial and administrative capabilities for reimbursement processing and other system improvements are currently under discussion with the outsourced system developer.
  - b. **Financial Activities** – The Committee received a verbal report on the NVTA’s Finance Team’s major activities related to:
    - i. The procurement of the next Transaction update, currently in final negotiations with two top ranked prospective consultants.
    - ii. Potential for forward appropriation of FY2024/25 Six Year Program Two Year Update projects. Recommendations will be based on revenue and the dollar value of requests.
    - iii. Discussions with the Commonwealth on the status of the I-81 related revenue.
  - c. **Investment Portfolio Report** - The Finance Committee reviewed and discussed the Authority’s Investment Portfolio performance. The Portfolio continues to perform well

compared to its benchmarks, noting that performance has declined, although at a slower pace than the overall fixed income market.

- d. **Monthly Revenue Report** - The Finance Committee reviewed and discussed the monthly revenue reports. Notable items are the lack of I-81 remittances. Sales tax while currently performing better than projections, is below last year due to the pandemic. Grantor's Tax is currently above projections. On an accrual basis, four months of revenue have been received, so it is early to make a definitive assessment.
- e. **Monthly Operating Budget** - The Finance Committee received reports and discussed the FY2021 Operating Budget. Through 50% of the fiscal year the Authority has utilized 39% of the operating budget. Much of the 11% variance is attributed to PIMMS enhancements expenses approved in the FY2021 budget not having been incurred.

## NORTHERN VIRGINIA TRANSPORTATION AUTHORITY

MEMORANDUM

**TO:** Chair Phyllis J. Randall and Members  
Northern Virginia Transportation Authority

**FROM:** Michael Longhi, Chief Financial Officer

**DATE:** February 4, 2021

**SUBJECT:** Investment Portfolio Report

- 1) **Purpose:** To provide the Northern Virginia Transportation Authority (NVTa) with required reports on investment activities and portfolio performance through December 31, 2020.
- 2) **Background:** This report is on investment activity through December 2020 and affirms the portfolio investments were acquired on the basis of safety, liquidity and then yield. This report summarizes the portfolio structure, and adherence to the NVTa Investment Policy.

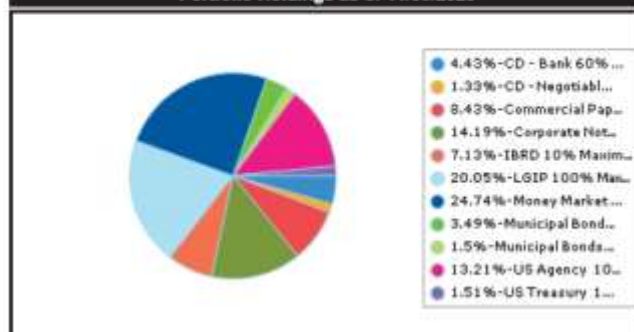


Northern Virginia Transportation Authority  
Distribution by Asset Category - Book Value  
Report Group: Regional Revenue

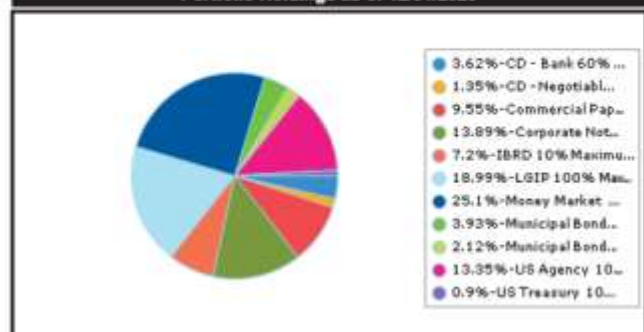
Begin Date: 11/30/2020, End Date: 12/31/2020

Asset Category Allocation				
Asset Category	Book Value 11/30/2020	% of Portfolio 11/30/2020	Book Value 12/31/2020	% of Portfolio 12/31/2020
CD - Bank 60% Maximum	49,815,273.30	4.43	40,315,273.30	3.62
CD - Negotiable 25% Maximum	15,000,000.00	1.33	15,000,000.00	1.35
Commercial Paper 30% / 5% Maximum	94,871,673.61	8.43	106,367,670.85	9.55
Corporate Notes 50% Maximum	159,775,214.99	14.19	154,651,527.52	13.89
IBRD 10% Maximum	80,258,594.63	7.13	80,231,749.48	7.20
LGIP 100% Maximum	225,673,935.51	20.05	211,528,341.28	18.99
Money Market 60% Maximum	278,503,415.65	24.74	279,472,380.00	25.10
Municipal Bonds - US 75% Maximum	39,265,043.18	3.49	43,800,179.79	3.93
Municipal Bonds - Virginia 75% Maximum	16,869,321.65	1.50	23,581,867.42	2.12
US Agency 100% Maximum	148,701,699.39	13.21	148,669,939.20	13.35
US Treasury 100% Maximum	16,985,027.74	1.51	9,983,629.87	0.90
Total / Average	1,125,719,199.65	100.00	1,113,602,758.71	100.00

Portfolio Holdings as of 11/30/2020

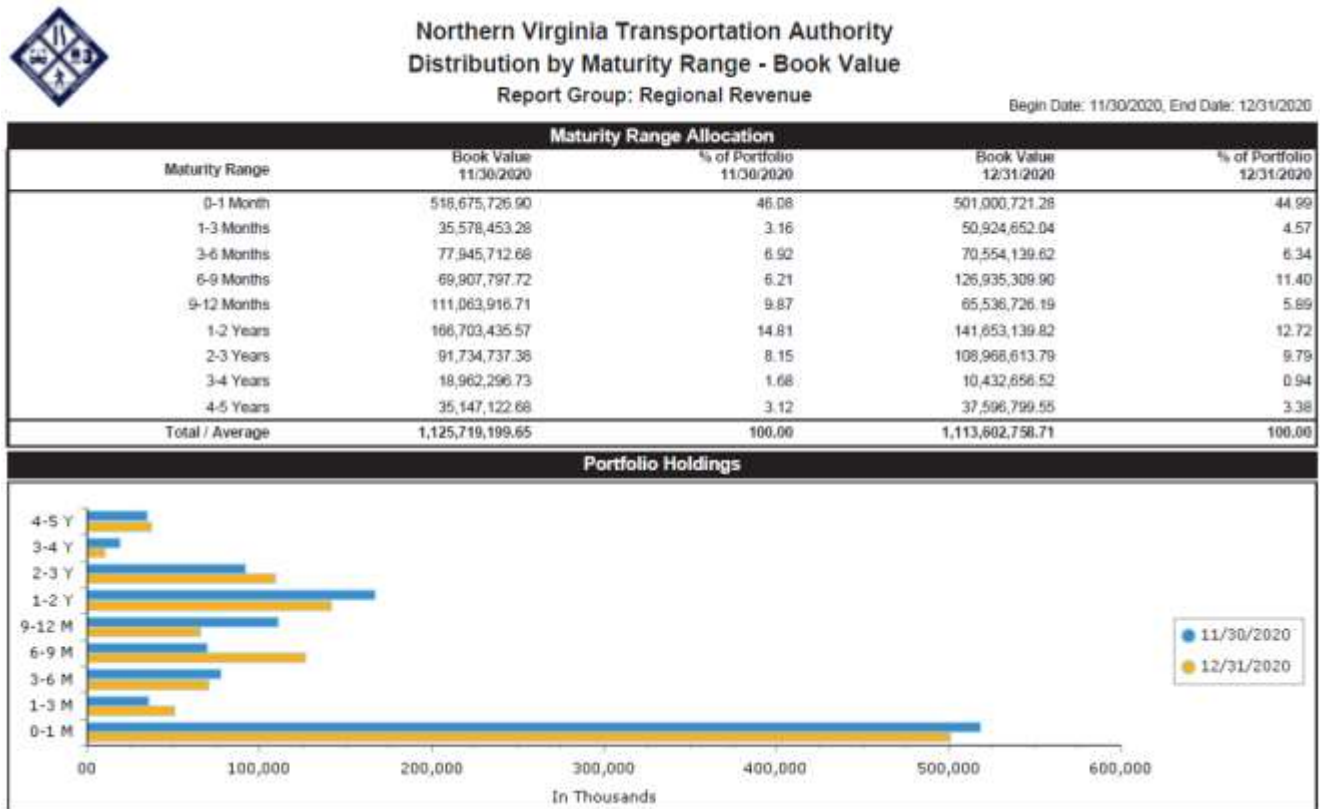


Portfolio Holdings as of 12/31/2020



### 3) Current Period Reports:

- a. The safety of the portfolio is reflected in the actual composition of the portfolio as shown above.



- b. The liquidity of the portfolio is reflected in the portfolio's duration of 0.63 (1.0 = 1 year) and the maturity schedule shown above.

NVTA Investment Benchmarks	Dec-20 Month End
Fed Funds Rate	0.09%
Treasury 90 Day T Bill	0.09%
Local Government Investment Pool	0.13%
Virginia Non-Arbitrage Program	0.15%
<b>NVTA Performance</b>	<b>0.51%</b>

Source: Bloomberg/NVTA Statements

- c. The yield on the portfolio at the end of December 2020 was 0.513%. The NVTA's Investment Policy specifies the benchmarks shown above for yield performance comparison.

#### **4) Portfolio Analysis & Statistics Overview**

**a) Safety** The portfolio is invested primarily in;

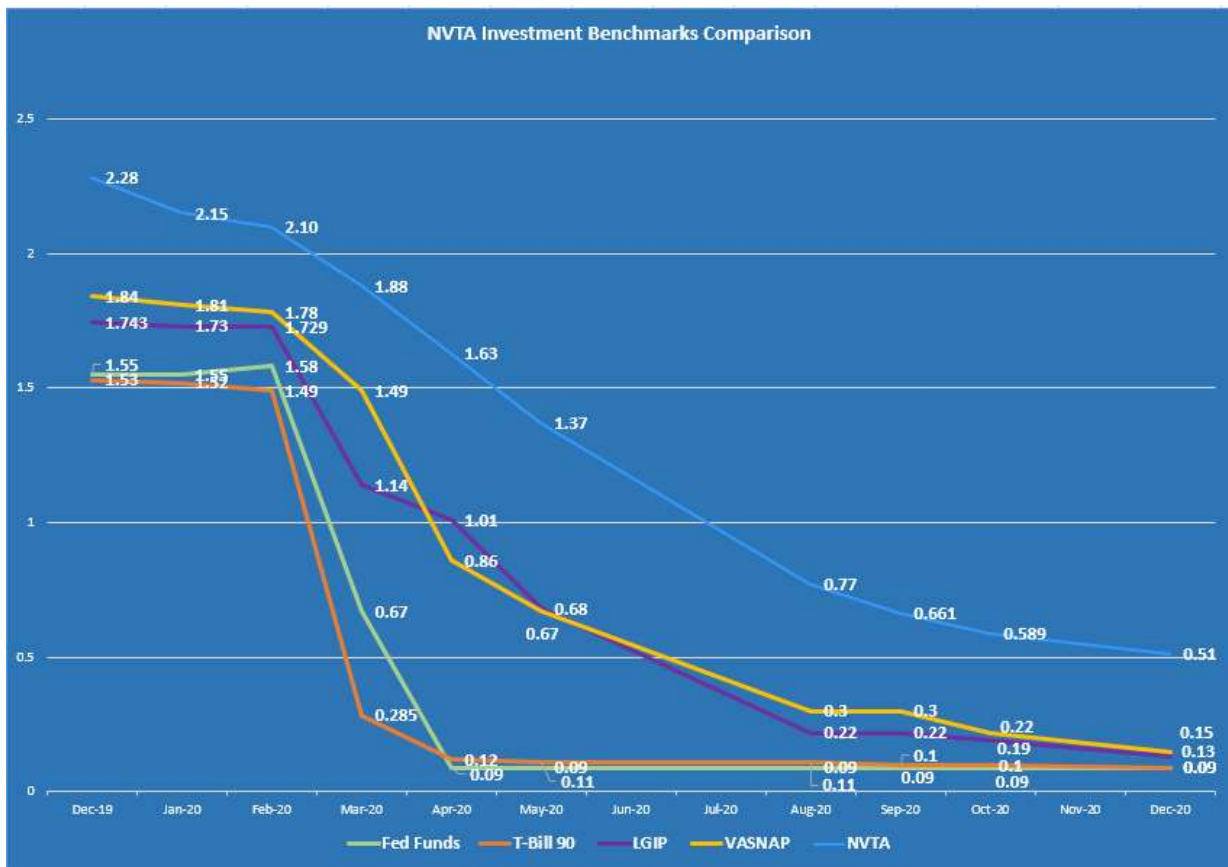
- i) Local Government Investment Pools (19% from 20%)
- ii) Collateralized bank money market accounts (remains at 25%)
- iii) AAA/AA rated investment grade corporate bonds (remains at 14%)
- iv) Treasuries and Agencies (14% from 15%)
- v) VA & US Municipals (6% from 5%)

**b) Liquidity:**

The NVTa Portfolio average duration was 0.6338 – approximately a little more than half of a year. As yields have fallen in the local government investment pools, taxable municipal and US Treasury/Agency purchases have been made which resulted in moving the duration out from 0.38 to 0.63 (1.0 = 1 year).

**c) Yield:**

- i) Given the change in market dynamics - the Federal Reserve has dropped rates near zero because of the pandemic. With local government investment pools' rates declining with the market, investment activity has centered around consolidating those funds and making short duration purchases of taxable municipal bonds, commercial paper and treasuries/agencies.
- ii) The NVTa portfolio is showing declines while still retaining value compared to the benchmarks. However, over time NVTa's portfolio performance will move (decline) with the market. The portfolio is well positioned to take early advantage of rising rates as COVID-19 restrictions end and the economy begins to recover.



5) **Custodian Certification:** BB&T Retirement & Institutional Services is the custodian of all of NVTA's investment purchases and is where all of NVTA's non-deposit investments are held. Deposit type investments are protected through the Commonwealth of Virginia collateralization program or FDIC Insurance.

6) **Policy Required Reports:** The attached Compliance - GASB 40 Report addresses specific Investment Policy requirements regarding the purchase and holding of securities. The attached report, documents:

- a. **Compliance – Investment Policy, Summary.** The report shows the percentage of the portfolio by each type of investment.
- b. **Investment Portfolio – By Maturity Range.** The report shows the yield to maturity, and percentage of the portfolio which each type of investment represents.
- c. **Portfolio Holdings by Custodian.** This report shows each depository, investment firm or custodian holding NVTA securities or cash.

**Attachments:** Compliance - GASB 40 Report shows reporting requirements as listed above, and not otherwise presented. This report is also fundamental for the Authority's Annual Financial Statements and annual audit.

# Attachment.



## Northern Virginia Transportation Authority

The Authority for Transportation in Northern Virginia

### Portfolio Holdings Compliance - GASB 40 Report - As of 12/31/2020

Description	Face Amount/Shares	Book Value	Market Value	Credit Rating 1	Credit Rating 2	% of Portfolio	Days To Maturity	Maturity Date	YTM @ Cost	Duration To Maturity
Certificate Of Deposit										
United Bank1.9 1/14/2021	10,000,000.00	10,000,000.00	10,000,000.00	NR	NR	0.90	14	01/14/2021	1.900	0.04
United Bank 0.2 9/2/2021	30,315,273.30	30,315,273.30	30,315,273.30	NR	NR	2.73	245	09/02/2021	0.200	0.67
Sub Total / Average Certificate Of Deposit	40,315,273.30	40,315,273.30	40,315,273.30			3.64	188		0.622	0.51
Commercial Paper										
Barclays Bank 0 7/16/2021	10,000,000.00	9,984,130.56	9,978,411.11	S&P-A1	Moodys-P1	0.90	197	07/16/2021	0.291	0.54
Barclays Bank PLC 0 8/27/2021	11,500,000.00	11,477,095.83	11,474,220.83	S&P-A1	Moodys-P1	1.04	239	08/27/2021	0.301	0.65
Lloyds Bank PLC 0 4/16/2021	25,000,000.00	24,986,013.89	24,974,165.28	S&P-A1	Moodys-P1	2.25	106	04/16/2021	0.190	0.29
Lloyds Bank PLC 0 4/27/2021	10,000,000.00	9,992,850.00	9,989,274.17	S&P-A1	Moodys-P1	0.90	117	04/27/2021	0.220	0.32
Lloyds Bank PLC 0 6/4/2021	20,000,000.00	19,976,750.00	19,977,115.00	S&P-A1	Moodys-P1	1.80	155	06/04/2021	0.270	0.42
Royal Bank of Canada 0 10/19/2021	10,000,000.00	9,982,155.56	9,977,816.67	S&P-A1+	Moodys-P1	0.90	292	10/19/2021	0.220	0.80
Royal Bank of Canada 0 10/28/2021	10,000,000.00	9,979,933.34	9,975,666.67	S&P-A1+	Moodys-P1	0.90	301	10/28/2021	0.241	0.82
Toyota Industries0 7/12/2021	10,000,000.00	9,988,741.67	9,981,818.06	S&P-A1	Moodys-P1	0.90	193	07/12/2021	0.210	0.53
Sub Total / Average Commercial Paper	106,500,000.00	106,367,670.85	106,328,487.79			9.60	183		0.239	0.50
Corporate Bond										
Apple Corp.1.7 9/11/2022	16,145,000.00	16,118,252.01	16,552,984.15	S&P-AA+	Moodys-Aa1	1.46	619	09/11/2022	1.800	1.67
Apple Corp.Var. Corp 2/9/2022	5,011,000.00	5,032,445.95	5,037,758.74	S&P-AA+	Moodys-Aa1	0.45	405	02/09/2022	0.713	0.00
Apple Inc 0.75 5/11/2023	5,000,000.00	5,055,231.35	5,059,650.00	S&P-AA+	Moodys-Aa1	0.45	861	05/11/2023	0.280	2.34
Apple Inc 2.4 5/3/2023	2,500,000.00	2,619,920.94	2,622,400.00	S&P-AA+	Moodys-Aa1	0.23	853	05/03/2023	0.340	2.28
Asian Development Bank 1.75 9/13/2022	25,000,000.00	25,653,314.48	25,650,000.00	S&P-AAA	Moodys-Aaa	2.25	621	09/13/2022	0.210	1.67
Asian Development Bank 2.75 3/17/2023	18,083,000.00	19,079,524.28	19,091,669.74	S&P-AAA	Moodys-Aaa	1.63	806	03/17/2023	0.250	2.15
Berkshire Hathaway2.2 3/15/2021	7,386,000.00	7,376,920.08	7,402,027.62	S&P-AA	Moodys-Aa2	0.67	74	03/15/2021	2.833	0.21
Berkshire Hathaway2.2 3/15/2021	10,000,000.00	10,002,740.08	10,021,700.00	S&P-AA	Moodys-Aa2	0.90	74	03/15/2021	2.061	0.21
Berkshire Hathaway2.75 3/15/2023-23	10,000,000.00	10,454,087.94	10,501,600.00	S&P-AA	Moodys-Aa2	0.90	804	03/15/2023	0.665	2.14

**Portfolio Holdings Compliance - GASB 40 Report - As of 12/31/2020**

Description	Face Amount/Shares	Book Value	Market Value	Credit Rating 1	Credit Rating 2	% of Portfolio	Days To Maturity	Maturity Date	YTM @ Cost	Duration To Maturity
Chevron Corp. 2.1 5/16/2021	5,560,000.00	5,543,714.65	5,590,302.00	S&P-AA	Moody's-Aa2	0.50	136	05/16/2021	2.923	0.38
Chevron Corp. 2.1 5/16/2021	5,000,000.00	5,020,853.33	5,027,250.00	S&P-AA	Moody's-Aa2	0.45	136	05/16/2021	0.973	0.38
Exxon Mobil Corp Var. Corp 3/6/2022	1,000,000.00	1,002,924.87	1,003,600.00	S&P-AA	Moody's-Aa1	0.09	430	03/06/2022	0.595	0.00
Exxon Mobil Corp Var. Corp 3/6/2022	7,500,000.00	7,520,825.91	7,527,000.00	S&P-AA	Moody's-Aa1	0.68	430	03/06/2022	0.595	0.00
Exxon Mobil Corp Var. Corp 8/16/2022	10,000,000.00	10,025,691.13	10,036,400.00	S&P-AA	Moody's-Aa1	0.90	593	08/16/2022	0.551	0.00
IBRD 1.375 9/20/2021	25,000,000.00	25,218,972.72	25,213,500.00	S&P-AAA	Moody's-Aaa	2.25	263	09/20/2021	0.157	0.72
IBRD 1.75 1/27/2023-21	30,000,000.00	30,002,093.09	30,027,000.00	S&P-AAA	Moody's-Aaa	2.71	757	01/27/2023	1.746	2.03
IBRD ADBVar. Corp 12/15/2021	25,000,000.00	25,010,683.67	24,998,250.00	S&P-AAA	Moody's-Aaa	2.25	349	12/15/2021	0.227	0.00
Microsoft Corp 1.55 8/8/2021	5,000,000.00	4,962,704.57	5,034,400.00	S&P-AAA	Moody's-Aaa	0.45	220	08/08/2021	2.850	0.60
Microsoft Corp 3.625 12/15/2023-23	13,000,000.00	14,178,593.34	14,198,210.00	S&P-AAA	Moody's-Aaa	1.17	1,079	12/15/2023	0.530	2.83
WalmartVar. Corp 6/23/2021	5,000,000.00	5,003,782.61	5,004,400.00	S&P-AA	Moody's-Aa2	0.45	174	06/23/2021	0.475	0.00
Sub Total / Average Corporate Bond	231,185,000.00	234,883,277.00	235,600,102.25			20.85	532		0.909	1.18
FFCB Bond										
FFCBVar. FFCB 8/1/2022	25,000,000.00	25,000,000.00	24,996,250.00	S&P-AA+	Moody's-Aaa	2.25	578	08/01/2022	0.155	0.00
FFCBVar. FFCB 9/13/2021	5,000,000.00	5,000,000.00	5,003,800.00	S&P-AA+	Moody's-Aaa	0.45	256	09/13/2021	0.230	0.00
FFCB 0.23 8/3/2022	20,000,000.00	20,000,000.00	19,927,400.00	S&P-AA	Moody's-Aaa	1.80	580	08/03/2022	0.230	1.59
FFCB 0.6 11/24/2025-21	19,100,000.00	19,090,638.38	19,105,157.00	S&P-AA+	Moody's-Aaa	1.72	1,789	11/24/2025	0.610	4.83
FFCB Var. FFCB 10/27/2021	20,000,000.00	19,998,953.62	19,993,200.00	S&P-AA+	Moody's-Aaa	1.80	300	10/27/2021	0.117	0.00
FFCB Var. FFCB 11/7/2022	10,000,000.00	10,029,825.67	10,065,400.00	S&P-AA+	Moody's-Aaa	0.90	676	11/07/2022	0.515	0.00
FFCB Var. FFCB 5/16/2022	10,000,000.00	10,005,529.65	10,029,600.00	S&P-AA+	Moody's-Aaa	0.90	501	05/16/2022	0.365	0.00
Sub Total / Average FFCB Bond	109,100,000.00	109,124,947.32	109,120,807.00			9.84	727		0.297	1.14
FHLB Bond										
FHLB 1.67 8/25/2023-21	21,000,000.00	21,000,000.00	21,046,200.00	S&P-AA+	Moody's-Aaa	1.89	967	08/25/2023	1.670	2.59
Sub Total / Average FHLB Bond	21,000,000.00	21,000,000.00	21,046,200.00			1.89	967		1.670	2.59
Local Government Investment Pool										
Commonweath of VirginiaLGIP	12,002.92	12,002.92	12,002.92	S&P-AAA	NR	0.00	1	N/A	0.131	0.00
Commonweath of Virginia LGIP	211,307,482.78	211,307,482.78	211,307,482.78	S&P-AAA	NR	19.06	1	N/A	0.131	0.00
VIP Stable NAV LGIP	208,855.58	208,855.58	208,855.58	S&P-AAA	NR	0.02	1	N/A	0.135	0.00
Sub Total / Average Local Government Investment Po	211,528,341.28	211,528,341.28	211,528,341.28			19.08	1		0.131	0.00
Money Market										
Atlantic Union/Access Bank MM	47,970,723.27	47,970,723.27	47,970,723.27	NR	NR	4.33	1	N/A	0.130	0.00
BB&T MM	12,752,500.82	12,752,500.82	12,752,500.82	NR	NR	1.15	1	N/A	0.010	0.00
John Marshall Bank ICS MM	47,661,913.22	47,661,913.22	47,661,913.22	NR	NR	4.30	1	N/A	0.230	0.00
United Bank ICS Checking MM	28,025,013.17	28,025,013.17	28,025,013.17	NR	NR	2.53	1	N/A	0.200	0.00



**Portfolio Holdings Compliance - GASB 40 Report - As of 12/31/2020**

Description	Face Amount/Shares	Book Value	Market Value	Credit Rating 1	Credit Rating 2	% of Portfolio	Days To Maturity	Maturity Date	YTM @ Cost	Duration To Maturity
United Bank ICS Savings MM	52,046,738.16	52,046,738.16	52,046,738.16	NR	NR	4.69	1	N/A	0.200	0.00
United Bank MM	91,005,534.36	91,005,534.36	91,005,534.36	NR	NR	8.21	1	N/A	0.132	0.00
United Bank/Chk MM	9,957.00	9,957.00	9,957.00	NR	NR	0.00	1	N/A	0.000	0.00
Sub Total / Average Money Market	279,472,380.00	279,472,380.00	279,472,380.00			25.20	1		0.162	0.00
Municipal Bond										
Arlington County 0.79 8/1/2025	7,000,000.00	7,059,987.43	7,120,960.00	S&P-AAA	Moody's-Aaa	0.63	1,674	08/01/2025	0.600	4.01
Calvert County MD 2 5/1/2021	30,000.00	30,175.14	30,171.60	S&P-AAA	Fitch-AAA	0.00	121	05/01/2021	0.251	0.34
Calvert County MD 2 5/1/2022	805,000.00	823,211.68	824,014.10	S&P-AAA	Fitch-AAA	0.07	486	05/01/2022	0.300	1.32
Calvert County MD 2 5/1/2023	2,640,000.00	2,738,039.70	2,744,570.40	S&P-AAA	Fitch-AAA	0.24	851	05/01/2023	0.400	2.29
Calvert County MD 2 5/1/2024	2,330,000.00	2,445,406.88	2,456,146.20	S&P-AAA	Fitch-AAA	0.21	1,217	05/01/2024	0.500	3.24
Calvert County MD 2 5/1/2025	2,620,000.00	2,770,864.08	2,786,736.80	S&P-AAA	Fitch-AAA	0.24	1,582	05/01/2025	0.650	4.17
Carroll CNTY MD 2 11/1/2024	2,385,000.00	2,528,148.77	2,538,021.60	S&P-AAA	Moody's-Aaa	0.22	1,401	11/01/2024	0.420	3.71
Carroll CNTY MD 2 11/1/2025	1,890,000.00	2,018,653.69	2,027,799.90	S&P-AAA	Moody's-Aaa	0.17	1,766	11/01/2025	0.570	4.63
City Richmond GO 2 7/15/2023	3,000,000.00	3,121,123.15	3,131,850.00	S&P-AA+	Moody's-Aa1	0.27	926	07/15/2023	0.400	2.49
City Richmond GO 2 7/15/2024	3,000,000.00	3,157,637.78	3,172,860.00	S&P-AA+	Moody's-Aa1	0.27	1,292	07/15/2024	0.500	3.43
City Richmond GO 2 7/15/2025	410,000.00	435,660.59	438,203.90	S&P-AA+	Moody's-Aa1	0.04	1,657	07/15/2025	0.600	4.36
FFX CNTY VA GO 0.645 10/1/2025	5,000,000.00	5,040,995.38	5,043,700.00	S&P-AAA	Moody's-Aaa	0.45	1,735	10/01/2025	0.470	4.68
NYC GO 1.58 8/1/2021	20,000,000.00	20,004,561.38	20,147,000.00	S&P-AA	Moody's-Aa1	1.80	213	08/01/2021	1.541	0.58
NYC GO 1.58 8/1/2022	10,000,000.00	10,006,118.47	10,181,100.00	S&P-AA	Moody's-Aa1	0.90	578	08/01/2022	1.541	1.56
VA Resources Auth Infrastructure Rev 0.249 11/1/20	565,000.00	565,000.00	565,067.80	S&P-AAA	Moody's-Aaa	0.05	305	11/01/2021	0.249	0.83
VA Resources Auth Infrastructure Rev 0.329 11/1/20	435,000.00	435,000.00	435,813.45	S&P-AAA	Moody's-Aaa	0.04	670	11/01/2022	0.329	1.83
VA Resources Auth Infrastructure Rev 0.436 11/1/20	720,000.00	720,000.00	722,174.40	S&P-AAA	Moody's-Aaa	0.06	1,035	11/01/2023	0.436	2.82
VA Resources Auth Infrastructure Rev 0.636 11/1/20	1,000,000.00	1,000,000.00	1,005,140.00	S&P-AAA	Moody's-Aaa	0.09	1,401	11/01/2024	0.636	3.79
VA Resources Auth Infrastructure Rev 0.736 11/1/20	1,180,000.00	1,180,000.00	1,187,103.60	S&P-AAA	Moody's-Aaa	0.11	1,766	11/01/2025	0.736	4.75
VA Resources Auth Infrastructure Rev 0.75 11/1/202	1,300,000.00	1,301,463.09	1,309,815.00	S&P-AA	Moody's-Aa1	0.12	1,401	11/01/2024	0.720	3.78
Sub Total / Average Municipal Bond	66,310,000.00	67,382,047.21	67,868,248.75			5.98	914		0.980	2.40
Negotiable Certificate Of Deposit										
TD Bank NY 2.5 3/23/2021	15,000,000.00	15,000,000.00	15,038,400.00	S&P-A1+	Moody's-P1	1.35	82	03/23/2021	2.500	0.22
Sub Total / Average Negotiable Certificate Of Deposit	15,000,000.00	15,000,000.00	15,038,400.00			1.35	82		2.500	0.22
Treasury Note										
T-Note 2.75 9/15/2021	10,000,000.00	9,983,829.87	10,184,400.00	S&P-AA+	Moody's-Aaa	0.90	258	09/15/2021	2.990	0.70
Sub Total / Average Treasury Note	10,000,000.00	9,983,829.87	10,184,400.00			0.90	258		2.990	0.70
TVA Bond										
TVA 3.875 2/15/2021	5,000,000.00	5,005,161.63	5,021,400.00	S&P-AA+	Moody's-Aaa	0.45	46	02/15/2021	3.017	0.12

**Portfolio Holdings Compliance - GASB 40 Report - As of 12/31/2020**

Description	Face Amount/Shares	Book Value	Market Value	Credit Rating 1	Credit Rating 2	% of Portfolio	Days To Maturity	Maturity Date	YTM @ Cost	Duration To Maturity
TVA 3.875 2/15/2021	13,500,000.00	13,539,830.25	13,557,780.00	S&P-AA+	Moodys-Aaa	1.22	46	02/15/2021	1.500	0.12
Sub Total / Average TVA Bond	18,500,000.00	18,544,991.88	18,579,180.00			1.67	46		1.910	0.12
Total / Average	1,108,910,994.58	1,113,602,758.71	1,115,081,820.37			100	284		0.513	0.63

## NORTHERN VIRGINIA TRANSPORTATION AUTHORITY

MEMORANDUM

**FOR:** Chair Phyllis J. Randall and Members  
Northern Virginia Transportation Authority

**FROM:** Michael Longhi, Chief Financial Officer

**DATE:** February 4, 2021

**SUBJECT:** Monthly Revenue Report

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1. **Purpose:** To update the Northern Virginia Transportation Authority (NVTA) on monthly revenue receipts and 30% funds distributed to member localities.
2. **Background:** The attached reports reflect funding received and distributed through December 2020.
3. **Comments:**
  - a. **FY2021 Revenues (Attachment A)**
    - i. The Authority has received approximately \$111.5 million through the December 2020 transfers from the Commonwealth. The Authority has received \$10 million of the \$20 million Northern Virginia District Transfer; \$8.9 million in Regional Congestion Relief Fees and \$92.6 million in Sales Tax Receipts.
    - ii. Attachment A shows a 27.3% positive variance when comparing the annualized sales tax receipts through December 2020 to the FY2021 budget, but the actual sales tax receipts of \$92.6 million as of December 2020 is 2.78% below the previous year's receipts of \$95.2 million.
    - iii. The annualized Regional Congestion Relief Fee (Grantor's Tax at the reduced rate of \$0.05/100) is exceeding the FY2021 budgeted amount but due to the uncertainty of the COVID-19 Pandemic impact on the remaining 7 months of the fiscal year, no budget adjustment is being recommended.
    - iv. FY2021 projected revenue is expected to rise and fall throughout the remainder of the fiscal year as a result of the unpredictable path of the COVID-19 virus and the distribution of the vaccines will have on the economy.
    - v. As of December 31, 2020, the Authority had not received Heavy Truck Registration and Diesel Fuel (I-81) revenue for FY2021.
  - b. **FY2021 Distribution to localities (Attachment B)**
    - i. Eight of the Authority's member jurisdictions have completed the required annual HB2313 certification to receive FY2021 Local Distribution Funds (30%). The filing deadline is August 1<sup>st</sup> of each year. If the filing is not received (in correct and accurate form) by March 1<sup>st</sup>, the jurisdiction's Local Distribution Funds are transferred to the Regional Revenue Fund for the year.

- ii. As of December 2020, \$32.6 million has been distributed in Local 30% Distribution funds for FY2021 to member jurisdictions.

**c. FY2015 to FY2021 Year over Year Revenue Comparison (Attachment C).**

- i. This chart reflects a month-to-month comparison of sales tax revenue and a year-to-year comparison of fiscal year to date revenues received through December 2020.

**Attachments:**

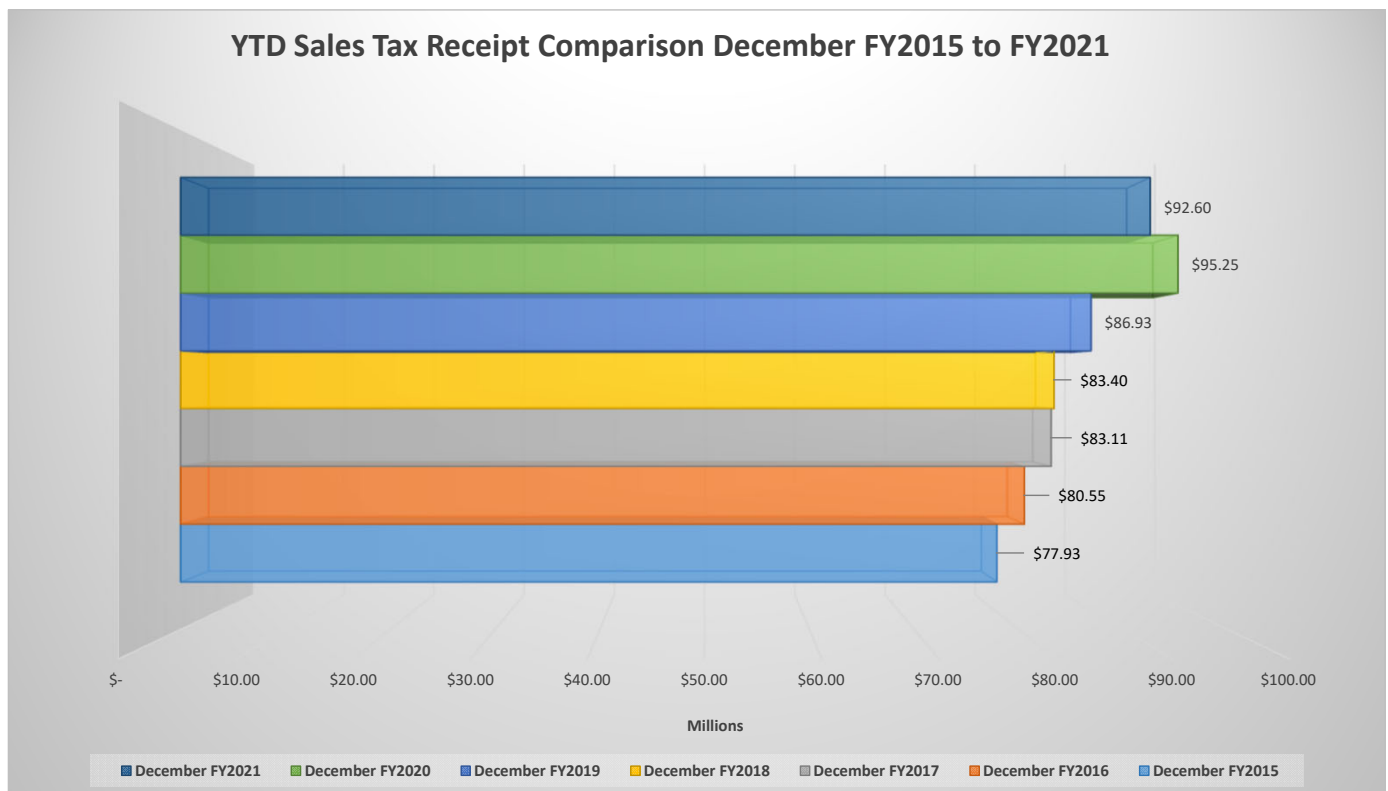
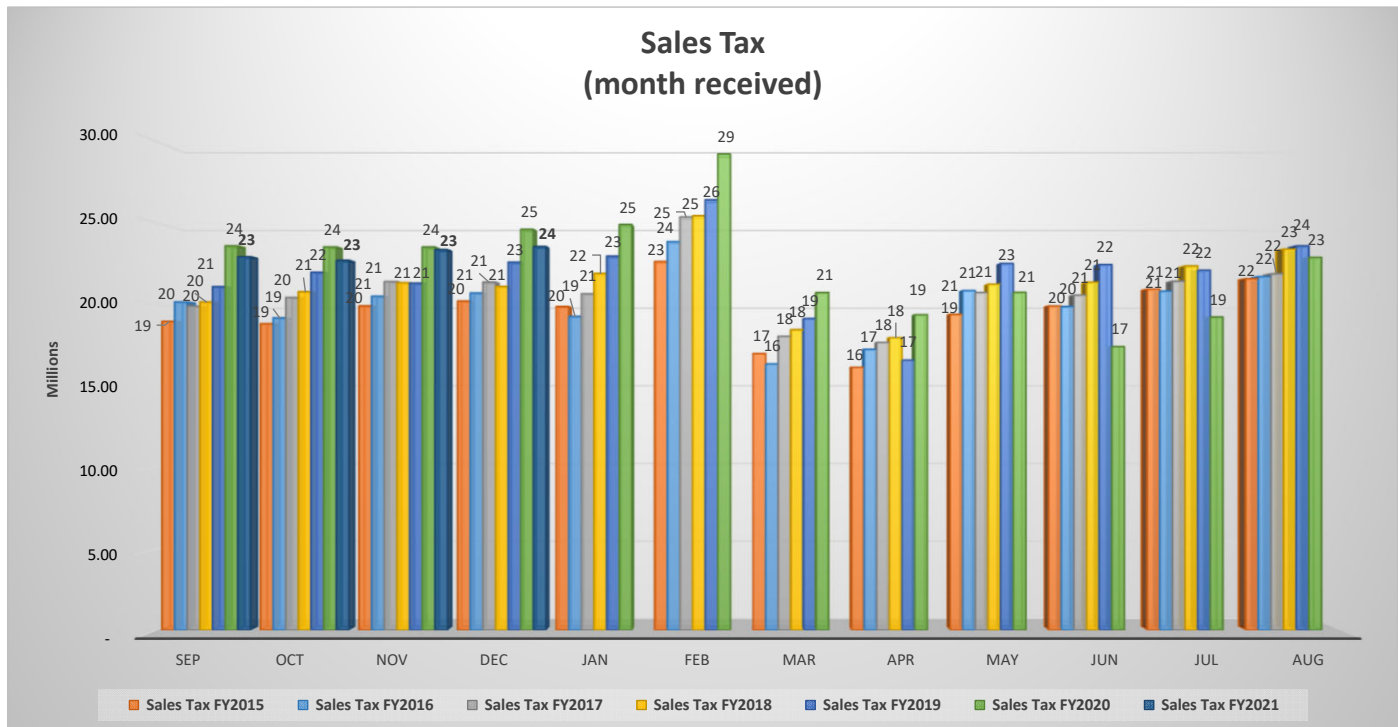
- A. Sales Tax Revenues Received Compared to NVTA Estimates, Through December 2020
- B. FY2021 30% Distribution by Jurisdiction, through December 2020
- C. Month to Month Comparison of Sales Tax Revenue and YTD Receipts for December 2015 to 2020

# Attachment A

NORTHERN VIRGINIA TRANSPORTATION AUTHORITY						
SALES TAX REVENUES RECEIVED BY JURISDICTION, COMPARED TO NVT A ESTIMATES						
Based on: Revenue Data Through December 2020						
FYE June 30, 2021						
<b>Regional Sales Tax</b>		<b>Annualized</b>				
<b>Transaction Months</b>	<b>4</b>	<b>Received To Date</b>	<b>Revenue based on YTD Receipts</b>	<b>FY2021 Budget</b>	<b>Annualized - Actual To Budget</b>	
City of Alexandria		\$ 6,040,735	\$ 18,122,204	\$ 14,188,675	\$ 3,933,529	
Arlington County		7,471,846	22,415,538	21,828,731	586,807	
City of Fairfax		2,586,285	7,758,855	5,893,757	1,865,098	
Fairfax County		38,210,919	114,632,756	92,335,532	22,297,224	
City of Falls Church		1,072,616	3,217,847	2,401,160	816,687	
Loudoun County		19,362,085	58,086,254	42,347,738	15,738,516	
City of Manassas		2,287,903	6,863,709	5,020,608	1,843,101	
City of Manassas Park		569,702	1,709,106	1,309,724	399,382	
Prince William County		14,998,162	44,994,487	32,961,384	12,033,103	
Total Sales Tax Revenue		\$ 92,600,252	\$ 277,800,756	\$ 218,287,309	\$ 59,513,447	27.3%
<b>Vehicle License-Registration Fees</b>		<b>Annualized</b>				
<b>Transaction Months</b>	<b>1</b>	<b>Received To Date</b>	<b>Revenue based on YTD Receipts</b>	<b>FY2021 Budget</b>	<b>Annualized - Actual To Budget</b>	
City of Alexandria		\$ -	\$ -	\$ 138,367	\$ (138,367)	
Arlington County		-	-	212,872	(212,872)	
City of Fairfax		-	-	57,476	(57,476)	
Fairfax County		-	-	900,450	(900,450)	
City of Falls Church		-	-	23,416	(23,416)	
Loudoun County		-	-	412,972	(412,972)	
City of Manassas		-	-	48,961	(48,961)	
City of Manassas Park		-	-	12,772	(12,772)	
Prince William County		-	-	321,437	(321,437)	
Total Vehicle License-Registration Fees		\$ -	\$ -	\$ 2,128,723	\$ (2,128,723)	-100.0%
<b>Regional Congestional Relief Fee</b>		<b>Annualized</b>				
<b>Transaction Months</b>	<b>5</b>	<b>Received To Date</b>	<b>Revenue based on YTD Receipts</b>	<b>FY2021 Budget</b>	<b>Annualized - Actual To Budget</b>	
City of Alexandria		\$ 977,503	\$ 2,346,006	\$ 721,500	\$ 1,624,506	
Arlington County		845,686	2,029,647	1,110,000	919,647	
City of Fairfax		66,621	159,891	299,700	(139,809)	
Fairfax County		3,412,996	8,191,190	4,695,300	3,495,890	
City of Falls Church		86,921	208,611	122,100	86,511	
Loudoun County		1,916,350	4,599,241	2,153,400	2,445,841	
City of Manassas		101,891	244,539	255,300	(10,761)	
City of Manassas Park		45,811	109,947	66,600	43,347	
Prince William County		1,435,749	3,445,798	1,676,100	1,769,698	
Total Regional Congestion Relief Fee		\$ 8,889,529	\$ 106,674,352	\$ 11,100,000	\$ 95,574,352	861.0%
<b>NVTD Transfer from COVA</b>		<b>Annualized</b>				
<b>Transaction Months</b>	<b>2</b>	<b>Received To Date</b>	<b>Revenue based on YTD Receipts</b>	<b>FY2021 Budget</b>	<b>Annualized - Actual To Budget</b>	
City of Alexandria		\$ 659,322	\$ 1,318,644	\$ 1,300,000	\$ 18,644	
Arlington County		800,927	1,601,855	2,000,000	(398,145)	
City of Fairfax		280,744	561,488	540,000	21,488	
Fairfax County		4,156,289	8,312,578	8,460,000	(147,422)	
City of Falls Church		117,586	235,172	220,000	15,172	
Loudoun County		2,068,522	4,137,043	3,880,000	257,043	
City of Manassas		255,984	511,967	460,000	51,967	
City of Manassas Park		57,589	115,178	120,000	(4,822)	
Prince William County		1,603,037	3,206,074	3,020,000	186,074	
Total NVTD Transfer from COVA		\$ 10,000,000	\$ 20,000,000	\$ 20,000,000	\$ -	0.0%
Total Revenue Received		\$ 111,489,781	\$ 404,475,108	\$ 251,516,032	\$ 152,959,076	60.81%

## Attachment B

NORTHERN VIRGINIA TRANSPORTATION AUTHORITY FY2020 30% DISTRIBUTION BY JURISDICTION											
Based on: Revenue Data Through December 2020											
Jurisdiction	Vehicle License- Registration Fee	Regional Sales Tax	Regional Congestion Relief Fee	NVTD Transfer From COVA	CoVa NVTA Interest	Cumulative Total	30% Funds	11/30/2020 Accrued Interest (1)	11/30/2020 Prior Distributions	Current Month Distribution	Total Funds Transferred
								(+)			
City of Alexandria	\$ -	\$ 6,040,734.77	\$ 977,502.70	\$ 659,322	\$ 5,002.39	\$ 7,682,561.81	\$ 2,304,768.54	\$ 103.23	\$ 1,620,968.02	\$ 683,903.75	\$ 2,304,871.77
Arlington County	\$ -	\$ 7,471,845.95	\$ 845,686.15	\$ 800,927	\$ 5,830.65	\$ 9,124,290.22	\$ 2,737,287.07	\$ 117.98	\$ -	\$ 2,737,405.05	\$ 2,737,405.05
City of Fairfax	\$ -	\$ 2,586,285.02	\$ 66,621.10	\$ 280,744	\$ 1,992.34	\$ 2,935,642.28	\$ 880,692.68	\$ 44.24	\$ -	\$ 880,736.92	\$ -
Fairfax County	\$ -	\$ 38,210,918.56	\$ 3,412,995.95	\$ 4,156,289	\$ 29,344.71	\$ 45,809,548.23	\$ 13,742,864.47	\$ 604.68	\$ 9,399,615.50	\$ 4,343,853.65	\$ 13,743,469.15
City of Falls Church	\$ -	\$ 1,072,615.74	\$ 86,921.30	\$ 117,586	\$ 888.13	\$ 1,278,011.41	\$ 383,403.42	\$ 14.75	\$ 267,430.58	\$ 115,987.59	\$ 383,418.17
Loudoun County	\$ -	\$ 19,362,084.65	\$ 1,916,350.26	\$ 2,068,522	\$ 15,243.12	\$ 23,362,199.73	\$ 7,008,659.92	\$ 309.70	\$ 4,768,412.67	\$ 2,240,556.95	\$ 7,008,969.62
City of Manassas	\$ -	\$ 2,287,902.93	\$ 101,891.36	\$ 255,984	\$ 1,742.28	\$ 2,647,520.13	\$ 794,256.04	\$ 29.50	\$ 536,950.05	\$ 257,335.49	\$ 794,285.54
City of Manassas Park	\$ -	\$ 569,701.96	\$ 45,811.30	\$ 57,589	\$ 472.53	\$ 673,575.02	\$ 202,072.51	\$ 14.75	\$ 138,942.03	\$ 63,145.23	\$ 202,087.26
Prince William County	\$ -	\$ 14,998,162.45	\$ 1,435,749.24	\$ 1,603,037	\$ 12,198.01	\$ 18,049,146.72	\$ 5,414,744.02	\$ 235.96	\$ 3,732,019.67	\$ 1,682,960.31	\$ 5,414,979.98
Total Revenue	\$ -	\$ 92,600,252.03	\$ 8,889,529.36	\$ 10,000,000.00	\$ 72,714.16	\$ 111,562,495.55	\$ 33,468,748.67	\$ 1,474.79	\$ 20,464,338.52	\$ 13,005,884.94	\$ 32,589,486.54
Interest 11/30/2020											



## NORTHERN VIRGINIA TRANSPORTATION AUTHORITY

MEMORANDUM

**FOR:** Chair Phyllis J. Randall and Members  
Northern Virginia Transportation Authority

**FROM:** Michael Longhi, Chief Financial Officer

**DATE:** February 4, 2021

**SUBJECT:** Monthly Operating Budget Report

---

1. **Purpose:** To update the Northern Virginia Transportation Authority (NVTa) on the Authority's Operating Budget for FY2021.
2. **Background:** The Authority elected to fund the Operating Budget for FY2021 through transfers from the Regional Revenue Fund.
3. **Comments:** Through December 31, 2020, the FY2021 Operating Budget has produced the following:
  - a. The Operating Budget is being funded through quarterly transfers of \$692,305 from the Regional Revenue Fund.
  - b. As of December 31, 2020, the Authority has utilized 39% of its FY2021 expenditure budget even though December represents 50% of the year.
  - c. The utilization rate for the budget at 39%, is not linear due to the timing of certain large expenses. As an example, expenditures for the next phase of the Project Implementation, Management and Monitoring system and the second GIS license are not expected until the end of FY2021.
  - d. Through December 31, 2020, all expense account categories within the operating budget remain within budget.
  - e. The attached statement shows the total operating budget income and expenditure activity for FY2021 through December 31, 2020.

**Attachment:** FY2021 Monthly Operating Budget through December 31, 2020



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NORTHERN VIRGINIA TRANSPORTATION AUTHORITY  
Income Statement  
For the Accounting Period: 12 / 20

Page: 1 of 2  
Report ID: LB170A

1000 General Fund

		----- Current Year -----				
Account Object	Description	Current Month	Current YTD	Budget	Variance	%
<hr/>						
Expenses						
410000	Personnel Expenses					
110	Salaries-Regular Pay	152,710.59	675,601.98	1,524,073.00	848,471.02	44
130	Health & Dental Benefits	11,466.51	91,295.96	247,968.00	156,672.04	37
131	Payroll Taxes	7,559.58	38,980.82	118,210.00	79,229.18	33
132	Retirement VRS	9,919.37	42,836.96	124,506.00	81,669.04	34
133	Life Insurance	1,477.90	6,058.29	20,331.00	14,272.71	30
134	Flex Spending/Dependent Care	103.66	331.98	881.00	549.02	38
135	Workers Comp		1,326.00	1,698.00	372.00	78
137	Disability Insurance	404.00	8,269.16	18,196.00	9,926.84	45
	Total Account	183,641.61	864,701.15	2,055,863.00	1,191,161.85	42
<hr/>						
420000	Professional Services					
210	Audit & Accounting Services	6,500.00	18,500.00	29,500.00	11,000.00	63
220	Bank Service		175.95	750.00	574.05	23
230	Insurance		7,458.00	6,385.00	-1,073.00	117
240	Payroll Services	119.18	1,119.34	2,606.00	1,486.66	43
260	Public Outreach & Regional Event Support		9,929.42	89,093.00	79,163.58	11
261	Legal/Bond Counsel Services			10,000.00	10,000.00	
262	Financial Advisory Services		8,750.00	35,875.00	27,125.00	24
263	Bond Trustee Fees	2,687.50	2,687.50	2,700.00	12.50	100
264	Legislative Services	10,000.00	34,169.24	70,000.00	35,830.76	49
265	Investment Custody Svc		5,160.00	25,000.00	19,840.00	21
	Total Account	19,306.68	87,949.45	271,909.00	183,959.55	32
<hr/>						
430000	Technology/Communication					
310	Acctg & Financial Report Systems	1,846.25	42,719.85	111,347.00	68,627.15	38
330	IT Support Svc Incl Hosting	3,593.71	13,351.46	24,295.00	10,943.54	55
335	GIS/Project Mgt/Modeling		-4,043.68	136,679.00	140,722.68	-3
340	Phone Service & Web Ex Chgs	1,052.38	5,723.40	11,136.00	5,412.60	51
350	Web Develop & Hosting	382.50	4,434.91	9,756.00	5,321.09	45
	Total Account	6,874.84	62,185.94	293,213.00	231,027.06	21
<hr/>						
440000	Administrative Expenses					
410	Advertisement			1,500.00	1,500.00	
411	Dues & Subscriptions	91.98	2,038.50	10,544.00	8,505.50	19
412	Duplication & Printing		2,167.70	14,610.00	12,442.30	15
414	Hosted Meeting Expenses	105.90	393.99	5,000.00	4,606.01	8
415	Mileage/Transportation			11,450.00	11,450.00	
417	Office Lease	28,792.68	99,663.57	173,256.00	73,592.43	58
418	Office Supplies	180.95	1,176.42	8,065.00	6,888.58	15
419	Postage & Delivery	31.55	31.55	700.00	668.45	5
420	Professional Develop & Training	1,145.00	4,542.55	23,650.00	19,107.45	19
	Total Account	30,348.06	110,014.28	248,775.00	138,760.72	44
<hr/>						
Total Expenses		240,171.19	1,124,850.82	2,869,760.00	1,744,909.18	39

1000 General Fund

		----- Current Year -----				
Account Object	Description	Current Month	Current YTD	Budget	Variance	%
	Net Income from Operations	-240,171.19	-1,124,850.82			
Other Revenue						
383000	Transfer Operating Budget from Regional Revenue	692,305.00	692,305.00	2,769,220.00	-2,076,915.00	25
						25
	Total Other Revenue	692,305.00	692,305.00	2,769,220.00	-2,076,915.00	25
Other Expenses						
521000	Transfers					
820	Transfer to Operating Reserve			567,952.00	567,952.00	
825	Transf to Equip Reserve			26,986.00	26,986.00	
	Total Account			594,938.00	594,938.00	
	Total Other Expenses	0.00	0.00	594,938.00	594,938.00	
	Net Income	452,133.81	-432,545.82			

## NORTHERN VIRGINIA TRANSPORTATION AUTHORITY

# 11.

### MEMORANDUM

**TO:** Chair Phyllis J. Randall and Members  
Northern Virginia Transportation Authority

**FROM:** Monica Backmon, Executive Director

**DATE:** February 4, 2021

**SUBJECT:** Executive Director's Report

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**Purpose:** To inform the Northern Virginia Transportation Authority (NVTA) of items of interest not addressed in other agenda items.

- 1. 2021 NoVA ITS Roundtable** –NVTA will host the annual NOVA Transportation Roundtable jointly with the Intelligent Transportation Society of Virginia. This year's Roundtable will be held on Wednesday March 10, from 8am to 12 noon. For the first time the Roundtable will be in a virtual format. The program will be structured around two moderated panels (each lasting up to 90 minutes), under the themes of 'Smart Future' and 'Resilient Future'.
- 2. NVTA Policy 2** – As required in the Conflict of Interest Policy, which was updated by the Authority in October 2020, all NVTA staff required to file an annual Statement of Economic Interest have filed. Additionally, all NVTA staff have read and familiarized themselves with the Code of Virginia, Chapter 31. State and Local Governments Conflict of Interest Act.
- 3. Transportation Research Board Presentation**--NVTA's Principal for Transportation Planning and Programming, Keith Jasper, was invited to participate in a virtual panel discussion at the internationally renowned Transportation Research Board's Centennial Annual Meeting on January 28<sup>th</sup>. The subject for the panel was 'Measuring Transportation Needs and Performance in the Era of the Pandemic', and more than 200 transportation experts participated. Mr. Jasper presented the findings of NVTA's COVID-19 analysis, which focused on the challenges and opportunities associated with the transportation impacts of the pandemic, particularly with respect to uncertainty in NVTA's long range transportation planning process. Mr. Jasper was joined on the panel by speakers from New York City and Nevada DOT, who agreed that more research is needed into future ('new normal') work-from-home levels, commercial and private real estate disruptions, e-commerce, and the economic recovery of central business districts.

4. **Ditch Diggers Dialogues** – On January 20, 2021, Chair Randall presented at the Heavy Construction Contractors Association's "Ditch Diggers Dialogues," HCCA's virtual networking and education series. Chair Randall discussed the adoption of the Authority's FY2020-2025 Six Year Program, NVTA's COVID-19 analysis on transportation impacts and opportunities, economic impacts of NVTA-funded projects, and the 2021 General Assembly focus areas of the Authority.
5. **Regional Joint Public Information Officer (PIO Meeting)** – The Regional Joint Public Information Officer Meeting, which the NVTA coordinates on a quarterly basis, met virtually on January 26, 2021. The PIOs in attendance represented six jurisdictions and seven regional agencies. Attendees discussed TransAction and public engagement, NVTA's 2020 Annual Report, the Northern Virginia Joint Transportation Meeting collaborative communications efforts, transportation initiatives, and upcoming events being hosted by jurisdictions and agencies across Northern Virginia, or by outside organizations.
6. **Intelligent Transportation Systems Ribbon-Cutting and Filming** – On February 4, 2021, the Authority celebrated with the City of Alexandria and DASH Bus, the completion of Intelligent Transportation Systems (ITS) projects. Rather than hosting a formal ceremony due to COVID-19 precautions, NVTA staff worked with the City of Alexandria and DASH Bus on coordinating a video shoot of one of the commuter buses driving through a ribbon as part of the "ribbon-cutting" celebration. Additionally, remarks were provided by representatives of the Authority, the City and DASH as part of a video package. Authority member participants included Chair Phyllis Randall and Mayor Justin Wilson. The pre-recorded ribbon-cutting ceremony will be supplemented by an educational animated video explaining ITS and how Northern Virginians will be impacted. The Authority has invested more than \$2 million in Alexandria ITS projects.
7. **NVTA Communication Plan** – Since its last update five years ago, it was time to revisit the NVTA Communication Plan and mission statement. The Communication Plan has been rewritten and the mission statement updated, with the following categories incorporated into the Plan: Goals, Overarching Key Messages, Leveraging External Channels, Stakeholder Audiences, Tools, Metrics and Looking Ahead, and TransAction related activities. The updated Communication Plan will soon be finalized and shared with the Regional Joint PIO Group.

**8. Regional Joint Public Information Officer (PIO) Meeting** – The Regional Joint Public Information Officer Meeting, which the NVTa coordinates on a quarterly basis, met virtually on September 22, 2020. Karen Finucan Clarkson, Public Affairs Administrator, Virginia Railway Express (VRE), and Cindy King, Marketing Administrator, VRE, presented on COVID preparedness and building the public’s trust. They specifically addressed their own communications strategies on building transit ridership trust, best practices, tools and lessons learned. The PIOs also discussed COVID analysis happening around the region, NVTa’s FY2020-2025 Six Year Program collaborative communications efforts, the newly launched NVTa NoVA Gateway, and upcoming events being hosted by jurisdictions and agencies across Northern Virginia.

**9. NVTa Standing Committee Meetings:**

- a. Finance Committee:** The NVTa Finance Committee is scheduled to meet next on February 18<sup>th</sup> at 1:00pm.
- b. Planning and Programming Committee:** The NVTa Planning and Programming Committee is scheduled to meet on March 1, 2021 at 5:00pm.
- c. Governance and Personnel Committee:** The next meeting of the NVTa Governance and Personnel Committee is scheduled for February 11<sup>th</sup> at 5:30pm.

**10. NVTa Statutory Committee Meetings:**

- a. Technical Advisory Committee:** The NVTa Technical Advisory Committee is scheduled to meet on February 17, 2021, at 7:00pm.
- b. Planning Coordination Advisory Committee:** The NVTa Planning Coordination Advisory Committee is scheduled to meet on February 24, 2021, at 6:30pm.

**11. CMAQ-RSTP Transfers:**

CMAQ and RSTP Transfers requested since the last Executive Director’s report are presented in Attachment A.

**12. Regional Projects Status Report:**

Please note the updated Regional Projects Status Report (Attachment B), which provides a narrative update for each project and the amount of project reimbursements requested and processed to date.

**Link to the Projects Status Report:** <https://thenovaauthority.org/funded-projects/>

**Attachments:**

- A.** CMAQ-RSTP Transfers
- B.** Regional Projects Status Report

# Attachment A.

## NORTHERN VIRGINIA TRANSPORTATION AUTHORITY MEMORANDUM

**TO:** Chair Randall and Members  
Northern Virginia Transportation Authority

**FROM:** Monica Backmon, Executive Director

**SUBJECT:** Approval of Reallocation of Congestion Mitigation and Air Quality (CMAQ) funds for Fairfax County

**DATE:** February 4, 2021

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- 1. Purpose.** To inform the Northern Virginia Transportation Authority (NVTa) of Regional Jurisdiction and Agency Coordinating Committee (RJACC) Approval of Congestion Mitigation and Air Quality (CMAQ) funds for Fairfax County.
- 2. Background:** On September 11, 2008, the Authority delegated the authority to approve requests to reallocate Congestion Mitigation and Air Quality (CMAQ) and Regional Surface Transportation Program (RSTP) funding between projects that were previously approved by the NVTa to the Regional Jurisdiction and Agency Coordinating Committee (RJACC).

On January 28, 2021, Fairfax County requested the following reallocation:

- Transfer of \$169.00 in CMAQ funding from Gambrill Road Park and Ride Balance Entry Account (UPC 54503) to Purchase and Install Bus Shelters project (UPC 16182)

According to recent construction estimates, the Purchase and Install Bus Shelters project needs additional funds. The Balance Entry Account receives funds generally from completed projects that have not been previously transferred but need to be transferred to close out funding for these projects.

At its meeting on January 28, 2021, the RJACC approved this request.

**Attachment(s):** DRAFT Letter to VDOT NOVA District Administrator Cuervo  
Request Letter from Fairfax County

**Coordination:** Regional Jurisdiction and Agency Coordinating Committee



## Northern Virginia Transportation Authority

*The Authority for Transportation in Northern Virginia*

February 11, 2021

Ms. Helen Cuervo  
District Administrator  
Virginia Department of Transportation  
4975 Alliance Dr. Suite 4E-342  
Fairfax, Virginia 22030

Reference: Request to Reallocate Congestion Mitigation and Air Quality (CMAQ) funds for Fairfax County

Dear Ms. Cuervo:

On September 11, 2008, the Northern Virginia Transportation Authority (NVTA) delegated the authority to approve requests to reallocate Congestion Mitigation and Air Quality (CMAQ) and Regional Surface Transportation Program (RSTP) funding between projects that were previously approved by the NVTA to the Regional Jurisdiction and Agency Coordinating Committee (RJACC).

On January 28, 2021, VRE requested the following reallocation:

- Transfer of \$169.00 in CMAQ funding from Gambrill Road Park and Ride Balance Entry Account (UPC 54503) to Purchase and Install Bus Shelters project (UPC 16182)

According to recent construction estimates, the Purchase and Install Bus Shelters project needs additional funds. The Balance Entry Account receives funds generally from completed projects that have not been previously transferred but need to be transferred to close out funding for these projects.

NVTA's delegation requires that the RJACC notify the NVTA of these requests. The RJACC approved the request on January 28, 2021, and the NVTA was informed at their February 11, 2021, meeting. The NVTA has not objected to these reallocations.

Please take the necessary steps to reallocate these funds in the Transportation Improvement Program and the State Transportation Improvement Program. Thank you very much.

Sincerely,

Phyllis J. Randall  
Chair

cc: Monica Backmon, Executive Director, NVTA  
Tom Biesiadny, Director, Fairfax County Department of Transportation (FCDOT)



# County of Fairfax, Virginia

To protect and enrich the quality of life for the people, neighborhoods and diverse communities of Fairfax County

January 28, 2021

Ms. Noelle Dominguez, Chairman  
Regional Jurisdiction and Agency Coordinating Committee  
Northern Virginia Transportation Authority  
3040 Williams Drive, Suite 200  
Fairfax, Virginia 22031

Re: Reallocation of Congestion Mitigation and Air Quality Funds

Dear Ms. Dominguez:

Fairfax County requests the approval of the Regional Jurisdiction and Agency Coordinating Committee (RJACC) to transfer a total of \$169.00 in Congestion Mitigation and Air Quality (CMAQ) funds from Gambrill Road Park and Ride (UPC 54503) Balance Entry Account to Purchase and Install Bus Shelters (UPC 16182). According to recent construction estimates, the Purchase and Install Bus Shelters project needs additional funds. The Balance Entry Account receives funds generally from completed projects that have not been previously transferred but need to be transferred to close out funding for these projects.

If you have any questions or concerns about this request, please contact Christina Farrar at (703) 877-5629.

Sincerely,

Tom Biesiadny  
Director

cc. Todd Wigglesworth, Fairfax County Department of Transportation (FCDOT)  
Brent Riddle, FCDOT  
Carole Bondurant, Virginia Department of Transportation (VDOT)  
Bethany Mathis, VDOT  
Jan Vaughan, VDOT



# CMAQ/RSTP Transfer Request Form

(One Sheet Needed Per Donor Project)

Date: 1/28/2021

Name of Jurisdiction/Agency Requesting: Fairfax County

Current Balance of CMAQ/RSTP Funds Currently Allocated to Donor Project (Prior to this Transfer): \$169

From (Donor):

To (Recipient):

UPC	Project Description	Type of Funds	Transfer from Previous Fiscal	If No, Year Requested	Transfer Amount	UPC	Project Description	Previously Approved by NVTA	If Yes, Year Approved	JACC Approval (NVTA)	Authority Approval (NVTA)	Funds Verified (VDOT)	Completed (VDOT)
54503	Balance Entry Account	CMAQ	N	1998	\$169.00	16182	Purchase and Install Bus Shelters	Y					

TOTAL OF TRANSFER \$169.00

Attach Signed Request of Transfer Letter

**NORTHERN VIRGINIA TRANSPORTATION AUTHORITY**  
**M E M O R A N D U M**

**TO:** Chair Randall and Members  
Northern Virginia Transportation Authority

**FROM:** Monica Backmon, Executive Director

**SUBJECT:** Approval of Reallocation of Regional Surface Transportation Program (RSTP)  
funds for Loudoun County

**DATE:** February 4, 2021

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- 1. Purpose.** To inform the Northern Virginia Transportation Authority (NVTa) of Regional Jurisdiction and Agency Coordinating Committee (RJACC) Approval of Regional Surface Transportation Program (RSTP) funds for Loudoun County.
- 2. Background:** On September 11, 2008, the Authority delegated the authority to approve requests to reallocate Congestion Mitigation and Air Quality (CMAQ) and Regional Surface Transportation Program (RSTP) funding between projects that were previously approved by the NVTa to the Regional Jurisdiction and Agency Coordinating Committee (RJACC).

On January 28, 2021, Loudoun County requested the following reallocation:

- Transfer of \$1,613,309 in RSTP funding from Route 606 Loudoun County Parkway / Old Ox Road Reconstruction (UPC 97529) to Metro Station Area Pedestrian Improvements (UPC 112296)

The Route 606 project is completed and the RSTP funds are surplus.

At its meeting on January 28, 2021, the RJACC approved this request.

**Attachment(s):** DRAFT Letter to VDOT NOVA District Administrator Cuervo  
Request Letter from Loudoun County

**Coordination:** Regional Jurisdiction and Agency Coordinating Committee



**Northern Virginia Transportation Authority**  
*The Authority for Transportation in Northern Virginia*

February 11, 2021

Ms. Helen Cuervo  
District Administrator  
Virginia Department of Transportation  
4975 Alliance Dr. Suite 4E-342  
Fairfax, Virginia 22030

Reference: Request to Reallocate Regional Surface Transportation Program (RSTP) funds for Loudoun County

Dear Ms. Cuervo:

On September 11, 2008, the Northern Virginia Transportation Authority (NVTA) delegated the authority to approve requests to reallocate Congestion Mitigation and Air Quality (CMAQ) and Regional Surface Transportation Program (RSTP) funding between projects that were previously approved by the NVTA to the Regional Jurisdiction and Agency Coordinating Committee (RJACC).

On January 28, 2021, Loudoun County requested the following reallocation:

- Transfer of \$1,613,309 in RSTP funding from Route 606 Loudoun County Parkway / Old Ox Road Reconstruction (UPC 97529) to Metro Station Area Pedestrian Improvements (UPC 112296)

The Route 606 project is completed and the RSTP funds are surplus.

NVTA's delegation requires that the RJACC notify the NVTA of these requests. The RJACC approved the request on January 28, 2021, and the NVTA was informed at their February 11, 2021, meeting. The NVTA has not objected to these reallocations.

Please take the necessary steps to reallocate these funds in the Transportation Improvement Program and the State Transportation Improvement Program. Thank you very much.

Sincerely,

Phyllis J. Randall  
Chair

cc: Monica Backmon, Executive Director, NVTA  
Joseph Kroboth III, Director, Loudoun County Department of Transportation & Capital Infrastructure



## Loudoun County, Virginia

[www.loudoun.gov](http://www.loudoun.gov)

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Department of Transportation and Capital Infrastructure  
101 Blue Seal Drive, S.E., Suite 102, PO Box 7500, Leesburg, VA 20177-7500  
Telephone (703) 777-0396 • Fax (703) 777-0626

January 28, 2021

Ms. Monica Backmon, Executive Director  
Northern Virginia Transportation Authority  
3040 Williams Drive, Suite 200  
Fairfax, VA 22031

**Subject: Request to reallocate Regional Surface Transportation (RSTP) funds for Loudoun County Virginia**

Dear Ms. Backmon,

Loudoun County Virginia requests the Regional Jurisdiction and Agency Coordinating Committee (RJACC) endorse the following RSTP funding reallocation.

Loudoun County is requesting the approval of the transfer of \$1,613,309 of FY 2021 RSTP allocated funding from UPC 97529 – Route 606 Loudoun County Parkway / Old Ox Road Reconstruction to UPC 112296 – Metro Station Area Pedestrian Improvements project. The Route 606 is completed and the RSTP funds are surplus.

If you have any questions regarding this request, please contact Bob Brown, Loudoun's representative to the RJACC at 703-777-0122 or at [bob.brown@loudoun.gov](mailto:bob.brown@loudoun.gov).

Sincerely,

Joseph Kroboth III, PE, Director  
Transportation and Capital Infrastructure

Attachments  
CMAQ/RSTP Transfer-Worksheet

**CMAQ/RSTP Transfer Request Form**  
(One Sheet Needed Per Donor Project)

Date: 28-Jan-21

Name of Jurisdiction/Agency Requesting: Loudoun County

Current Balance of RSTP Funds Currently Allocated to Donor Project (Prior to this Transfer): \$22,831,999

From (Donor): Rte 606 Loudoun County Parkway      To (Recipient): Metro Station Area Pedestrian Improvements

<u>UPC</u>	<u>Project Description</u>	<u>Type of Funds</u>	<u>Transfer from Previous Fiscal Years</u>	<u>If No, Year Requested</u>	<u>Transfer Amount</u>	<u>UPC</u>	<u>Project Description</u>	<u>Previously Approved by NVTA</u>	<u>If Yes, Year Approved</u>	<u>JACC Approval (NVTA)</u>	<u>Authority Approval (NVTA)</u>	<u>Funds Verified (VDOT)</u>	<u>Completed (VDOT)</u>
97529	Route 606 Loudoun County Parkway / Old Ox Road Reconstruction	RSTP	N	2021	\$1,613,309.00	112296	Metro Station Area Pedestrian Improvements	Y	FY'23				

TOTAL OF TRANSFER      \$1,613,309.00

Attach Signed Request of Transfer Letter

**NORTHERN VIRGINIA TRANSPORTATION AUTHORITY**  
**M E M O R A N D U M**

**TO:** Chair Randall and Members  
Northern Virginia Transportation Authority

**FROM:** Monica Backmon, Executive Director

**SUBJECT:** Approval of Reallocation of Regional Surface Transportation Program (RSTP)  
funds for City of Falls Church

**DATE:** February 4, 2021

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- 1. Purpose.** To inform the Northern Virginia Transportation Authority (NVTa) of Regional Jurisdiction and Agency Coordinating Committee (RJACC) Approval of Regional Surface Transportation Program (RSTP) funds for City of Falls Church.
- 2. Background:** On September 11, 2008, the Authority delegated the authority to approve requests to reallocate Congestion Mitigation and Air Quality (CMAQ) and Regional Surface Transportation Program (RSTP) funding between projects that were previously approved by the NVTa to the Regional Jurisdiction and Agency Coordinating Committee (RJACC).

On January 28, 2021, City of Falls Church requested the following reallocation:

- Transfer of \$10,000 in RSTP funding from Bikeshare Connections (UPC 109954) to Oak Street Over Tripps Run Bridge Rehabilitation (UPC 109953)

The transfer will allow the receiving project to meet additional needs and keep it on schedule.

At its meeting on January 28, 2021, the RJACC approved this request.

**Attachment(s):** DRAFT Letter to VDOT NOVA District Administrator Cuervo  
Request Letter from City of Falls Church

**Coordination:** Regional Jurisdiction and Agency Coordinating Committee



## Northern Virginia Transportation Authority

*The Authority for Transportation in Northern Virginia*

February 11, 2021

Ms. Helen Cuervo  
District Administrator  
Virginia Department of Transportation  
4975 Alliance Dr. Suite 4E-342  
Fairfax, Virginia 22030

Reference: Request to Reallocate Regional Surface Transportation Program (RSTP) funds for City of Falls Church

Dear Ms. Cuervo:

On September 11, 2008, the Northern Virginia Transportation Authority (NVTA) delegated the authority to approve requests to reallocate Congestion Mitigation and Air Quality (CMAQ) and Regional Surface Transportation Program (RSTP) funding between projects that were previously approved by the NVTA to the Regional Jurisdiction and Agency Coordinating Committee (RJACC).

On January 28, 2021, City of Falls Church requested the following reallocation:

- Transfer of \$10,000 in RSTP funding from Bikeshare Connections (UPC 109954) to Oak Street Over Tripps Run Bridge Rehabilitation (UPC 109953)

The transfer will allow the receiving project to meet additional needs and keep it on schedule.

NVTA's delegation requires that the RJACC notify the NVTA of these requests. The RJACC approved the request on January 28, 2021, and the NVTA was informed at their February 11, 2021, meeting. The NVTA has not objected to these reallocations.

Please take the necessary steps to reallocate these funds in the Transportation Improvement Program and the State Transportation Improvement Program. Thank you very much.

Sincerely,

Phyllis J. Randall  
Chair

cc: Monica Backmon, Executive Director, NVTA  
Caitlin Sobsey, Grants Manager, Department of Public Works, City of Falls Church



# CITY OF FALLS CHURCH

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January 26, 2020

Noelle Dominguez  
Regional Jurisdiction and Agency Coordinating Committee  
Northern Virginia Transportation Authority  
3060 Williams Drive, Suite 200  
Fairfax, Virginia 22031

Dear Ms. Dominguez:

The City of Falls Church requests the approval of the Northern Virginia Transportation Authority to make the following transfers of Regional Surface Transportation Program (RSTP) Funding:

Transfer of \$10,000 in RSTP funds from “Bikeshare Connections” UPC 109954 to “Oak Street Over Tripps Run Bridge Rehabilitation” UPC 109953

Transfer of \$133,793 in RSTP funds from “Bikeshare Connections” UPC 109954 to “Pedestrian Crossings along Broad St at Oak St, Fairfax St, and Berry St (HAWK signals)” UPC 110332

Bikeshare Connections (Phase 1) was installed in May 2019, but completed in January 2020. The project was funded with RSTP funds. While reviewing and closing out the project an accounting discrepancy was discovered. VDOT staff confirmed that the City was erroneously charged oversight fees that belonged to another project. VDOT has reversed the charges and returned the RSTP funds to the City, after reconciling the final charges to close out the project. The City requests that the remaining \$143,793 in RSTP funds be transferred from the completed Bikeshare Connections Project to the S. Oak Street Bridge Replacement project and the Pedestrian Crossings/HAWKs along Broad project to meet funding needs and keep the projects on schedule.

If you have any questions, please contact me at 703-298-5100 or [csobsey@fallschurchva.gov](mailto:csobsey@fallschurchva.gov).

Sincerely,

Caitlin Sobsey  
Grants Manager

**Caitlin  
Sobsey**

Digitally signed by Caitlin Sobsey  
DN: cn=Caitlin Sobsey, o=City of  
Falls Church, ou,  
email=csobsey@fallschurchva.gov  
, c=US  
Date: 2021.01.26 21:21:53 -05'00'

Department of Public Works • 300 Park Ave • Suite 103 East • Falls Church, Virginia 22046  
703-248-5350 • [www.fallschurchva.gov](http://www.fallschurchva.gov) • [dpw@fallschurchva.gov](mailto:dpw@fallschurchva.gov)



CC: Cindy Mester, Deputy City Manager  
Melissa Ryman, Deputy Director of Finance  
Zak Bradley, Interim Director of Public Works  
Karin Battle, Public Resources Manager  
Kerri Oddenino, Senior Planner  
Paul Stoddard, Director of Planning

**CMAQ/RSTP Transfer Request Form**  
(One Sheet Needed Per Donor Project)

Date: 1/26/2021

Name of Jurisdiction/Agency Requesting: City of Falls Church

Current Balance of CMAQ/RSTP Funds Currently Allocated to Donor Project (Prior to this Transfer): \$ 143,793.00

From (Donor):

To (Recipient):

UPC	Project Description	Type of Funds	Transfer from Previous Fiscal Years	If No, Year Requested	Transfer Amount	UPC	Project Description	Previously Approved by NVTA	If Yes, Year Approved	JACC Approval (NVTA)	Authority Approval (NVTA)	Funds Verified (VDOT)	Completed (VDOT)
109954	Bikeshare	RSTP	Y		\$10,000.00	109953	S. Oak Street Bridge Replacement	Y					
		RSTP	Y		\$133,793.00	110332	Ped Crossings Along Broad (HAWK signals)	N					

TOTAL OF TRANSFER \$143,793.00

Attach Signed Request of Transfer Letter



**NVTA Funding Program Project Status**  
Summary Report

# Attachment B.

As of February 1, 2021.		
NVTA's Regional Fund Program FY2014 - FY2025		<b>Upcoming Public Information Meeting(s):</b> <b>1. Arlington County</b> - ART Operations and Maintenance Facility, virtual information meeting on February 16/17, 2021. <b>2. Fairfax County</b> - Frontier Drive Extension and Interchange Improvements, virtual information meeting on March 8, 2021. <b>3. Prince William County</b> - Route 28 Bypass virtual information session, February 18, 2021.  <b>NOTE:</b> For latest information on project events, please refer to the " <b>Events and Meetings</b> " section on our home page - <a href="https://thenovaauthority.org/">https://thenovaauthority.org/</a> For full status information, please check NVTA web page on regional fund projects - <a href="https://thenovaauthority.org/funded-projects/">https://thenovaauthority.org/funded-projects/</a>
Total Revenue Allocated	\$2,491,195,952	
Total Amount Reimbursed	\$532,261,524	
Total Number of Individual Projects	106	
SPAs	142	
Currently Active	67	
Completed	44	
Not Yet Executed	31	
Substantive Status Updates (during November 2020 - January 2021)**		
Project Title (program year)	Updated Status	% Reimbursed
<b>Arlington County</b>		
Crystal City Streets (FY2017)	12th Street Design Plans are at 90% stage.	17.1%
ART Operations and Maintenance Facilities (FY2018-23)	Final Concept Plan accepted by the County on January 17, 2021. Public meetings are scheduled on February 17 and 18, 2021.	0.0%
Crystal City Metrorail Station East Entrance and Intermodal Connections (FY2018-	WMATA approved the permit for soil borings and test pits in December 2020. Work will get underway in January 2021.	0.0%
<b>Fairfax County</b>		
Frontier Drive Extension & Interchange Improvements (FY2015-16, FY2018-23)	WMATA approved concept plan (Jug-handle design), a virtual Public Information Meeting is scheduled for March 8, 2021.	FY2015-16: 100.0% FY2018-23: 0.0%
Rolling Road Widening - Old Keene Mill Road to Franconia Springfield Parkway (FY2015-16, FY2018-23)	Phase I utility relocation completed; construction anticipated in early 2021.	FY2015-16: 62.5% FY2018-23: 0.0%
<b>Loudoun County</b>		
Northstar Boulevard - Shreveport Drive to Tall Cedars Parkway (FY2018-23)	RFP for CEI Services was advertised on 12/29/20. RFP for construction is expected to be advertised by April 2021.	6.5%
Dulles West Boulevard Widening: Loudoun County Parkway to Northstar Boulevard (FY2018-23)	Design Consultant has submitted the 30% Design Plans on February 2, 2021.	0.0%
<b>Prince William County</b>		
Construct Interchange at Route 234 and Brentsville Road (FY2018-23)	A public hearing for contract award was held on January 12, 2021 and the BOCS approved a contract for design and construction.	0.7%
Construct Interchange at Prince William Parkway and University Blvd (FY2018-23)	Limited Access Control was approved by the CTB on December 9, 2020.	4.7%
Summit School Rd Extension and Telegraph Rd Widening (FY2018-23)	60% Design Plans were submitted to VDOT on November 16, 2020.	10.7%
<b>City of Alexandria</b>		
Potomac Yard Metrorail Station (FY2014, FY2015-16, FY2017)	South Pavilion and pedestrian bridge design is finalized; approved and published by City Council on January 11, 2021.	FY2014: 100.0% FY2015-16: 100.0% FY2017: 97.3%
West End Transitway (FY2015-16)	RFQ for Phase 1 design was advertised on December 4, 2020.	36.6%
DASH Transit Service Enhancements and Expansion (FY2018-23)	A contract was executed with the consultant in November 2020 and project work has begun	1.8%
<b>City of Fairfax</b>		
Roadway Network Northfax West (FY2018-23, FY2020-25)	ROW acquisitions have started in January 2021.	1.6%
<b>City of Falls Church</b>		
West Falls Church & Joint Campus Revitalization District Multimodal Transportation Project (FY2018-23)	Contracts have been executed for design of the new public streetscapes and traffic signal designs as well as contracts for the MOT plans for work in public ROW.	19.7%
<b>Town of Leesburg</b>		
Construct Interchange at Route 15 Bypass and Battlefield Parkway (FY2018-23)	A consultant is selected, and Town initiated negotiations with the highest ranking candidate on December 7, 2020.	0.0%
<b>VRE</b>		
Franconia-Springfield Platform Improvements (FY2015-16)	Final design completion is delayed, now anticipated by 1st quarter of CY 2021, from earlier estimated completion by end of CY 2020.	5.5%
Crystal City Platform Improvements (FY2015-16, FY2018-23)	30% Design Plans were submitted per schedule on Dec 11, 2020.	FY2015-16: 100.0% FY2018-23: 0.0%

\*\*Substantive changes: SPA appendices A/B, Project administration, Start/completion of phases, Groundbreaking/ribbon-cutting ceremonies, Public information meetings, Major engineering progress.